

## **R430. Health and Human Services, Residential Child Care Licensing.**

### **R430-50. Residential Certificate Child Care.**

#### **R430-50-1. Legal Authority and Purpose.**

- (1) This rule is enacted and enforced in accordance with **Section 26B-2-402.**
- (2) This rule establishes the foundational standards necessary to protect the health and safety of children in residential child care facilities and defines the general procedures and requirements to get and maintain a residential certificate to provide child care.

#### **R430-50-2. Definitions.**

- (1) "Applicant" means a person or business who has applied for a new or a renewal of a residential certificate from **the department.**
- (2) "Background Finding" means information in a background check that **the department** uses to determine if a covered individual is or is not eligible **for involvement** with child care.
- (3) "Barrier" means an enclosing structure **including** a fence, wall, bars, railing, or solid panel to prevent accidental or deliberate movement through or access to something.
- (4) "Body Fluid" means blood, urine, feces, vomit, mucus, or saliva.
- (5) "Business Days and Hours" means the days of the week and times the facility is open for business.
- (6) "Caregiver" means a covered individual who protects the health and safety of children. A covered individual is a caregiver when they:
  - (a) count in the caregiver-to-child ratio;
  - (b) meet the physical or emotional needs of the children, including diapering, toileting, feeding, or protecting them from harm; or
  - (c) supervise children.
- (7) "Capacity" means the maximum number of children the provider is allowed to care for at any given time.
- (8) "Caregiver-to-Child Ratio" means the number of caregivers responsible for a specific number of children.
- (9) "CCL" means the Child Care Licensing Program **under the Office of Licensing** that is delegated with the responsibility to enforce the **rules under Titles R381 and R430.**
- (10) "Child Care" means continuous care and supervision of **one** or more qualifying children that is:
  - (a) in place of care ordinarily provided by a parent in the parent's home;
  - (b) for less than 24 hours a day; and
  - (c) for direct or indirect compensation.
- (11) "Child Care Program" means a person or business that offers child care.
- (12) "Choking Hazard" means an object or a removable part on an object with a diameter of less than 1-1/4 inches and a length of less than 2-1/4 inches that could be caught in a child's throat blocking their airway and making it difficult or impossible to breathe.
- (13) "Conditional Status" means that the provider is at risk of losing their child care residential certificate because compliance with **any laws or administrative** rules has not been maintained.
- (14) "Covered Individual" means any of the following individuals involved with a child care program:
  - (a) an owner;

- (b) an employee;
  - (c) a caregiver;
  - (d) a volunteer, except a parent of a child enrolled in the child care program;
  - (e) an individual age 12 years old or older who resides in the facility; and
  - (f) anyone who has unsupervised contact with a child in care.
- (15) "Crib" means an infant's bed with sides to protect them from falling, including a bassinet, porta-crib, or play pen.
- (16) "Cushioning" means a shock-absorbing surface under and around play equipment that reduces the severity of injuries from falls.
- (17) "Department" means the Utah Department of Health and Human Services.
- (18) "Designated Play Surface" means any accessible elevated surface for standing, walking, crawling, sitting or climbing; or an accessible flat surface at least two by two inches in size and having an angle less than 30 degrees from horizontal.
- (19) "Eligible" means that there were no findings in a covered individual's background check that could prohibit that covered individual from being involved with child care.
- (20) "Emotional Abuse" is defined in Subsection R512-80-2(12) and also means behavior that could harm a child's emotional development, including threatening, intimidating, humiliating, demeaning, criticizing, rejecting, using profane language, or using inappropriate physical restraint.
- (21) "Entrapment Hazard" means an opening greater than 3-1/2 by 6-1/4 inches and less than nine inches in diameter where a child's body could fit through but the child's head could not fit through, potentially causing a child's entrapment and strangulation.
- (22) "Facility" means the premises approved by the department for child care.
- (23) "Group" means the children who are assigned to and supervised by one or more caregivers.
- (24) "Group Size" means the total number of children in a group.
- (25) "Guest" means an individual who is not a covered individual and is at the child care facility for a short time with the provider's permission.
- (26) "Health Care Provider" means a licensed health professional, including a physician, dentist, nurse practitioner, or physician's assistant.
- (27) "Homeless" means anyone who lacks a fixed, regular, and adequate nighttime residence.
- (28) "Inaccessible" means out of reach of children by being:
- (a) locked, including in a locked room, cupboard, or drawer;
  - (b) secured with a child safety device, including a child safety cupboard lock or door knob device;
  - (c) behind a properly secured child safety gate;
  - (d) located at least 36 inches above the floor; or
  - (e) if in a bathroom, at least 36 inches above any surface from where a child could stand or climb.
- (29) "Infant" means a child who is younger than 12 months old.
- (30) "Infectious Disease" means an illness that is capable of being spread from one individual to another.
- (31) "Involved with Child Care" means to do any of the following at or for a child care program:
- (a) care for or supervise children;

- (b) volunteer;
  - (c) own, operate, direct;
  - (d) reside;
  - (e) count in the caregiver-to-child ratio; or
  - (f) have unsupervised contact with a child in care.
- (32) "LIS Supported Finding" means a supported finding of child abuse or neglect in the Licensing Information System (LIS) database for child abuse and neglect, maintained by the department.
- (33) "Over-the-Counter Medication" means medication that can be bought without a written prescription including herbal remedies, vitamins, and mineral supplements.
- (34) "Parent" means the parent or legal guardian of a child in care.
- (35) "Physical Abuse" is defined in Subsection R512-80-2(25) and also m means causing non accidental physical harm to a child.
- (36) "Play Equipment Platform" means a flat surface on a piece of stationary play equipment intended for more than one child to stand and move freely on.
- (37) "Preschooler" means a child age two through four years old.
- (38) "Provider" means the legally responsible person or business that holds a valid residential certificate from the department.
- (39) "Qualifying Child" means:
- (a) a child who is younger than 13 years old and is the child of an individual other than the child care provider or caregiver;
  - (b) a child with a disability who is younger than 18 years old and is the child of an individual other than the provider or caregiver; or
  - (c) a child who is younger than four years old and is the child of the provider or a caregiver.
- (40) "Related Child" means a child for whom a provider is the parent, legal guardian, step-parent, grandparent, step-grandparent, great grandparent, sibling, step-sibling, aunt, step-aunt, great-aunt, uncle, step-uncle, or great-uncle.
- (41) "Residential Child Care" means care that takes place in a child care provider's home.
- (42) "Sanitize" means to use a product or process to reduce contaminants and bacteria to a safe level.
- (43) "School-Age Child" means a child age five through 12 years old.
- (44) "Sexual Abuse" is defined in Subsection R512-80-2(30) and also m means to take indecent liberties with a child with the intention to arouse or gratify the sexual desire of an individual or to cause pain or discomfort.
- (45) "Sexually Explicit Material" means any depiction of actual or simulated sexually explicit conduct.
- (46) "Sleeping Equipment" means a cot, mat, crib, bassinet, porta-crib, playpen, or bed.
- (47) "Stationary Play Equipment" means equipment including a climber, slide, swing, merry-go-round, or spring rocker that is meant to stay in one location when a child uses it. Stationary play equipment does not include:
- (a) a sandbox;
  - (b) a stationary circular tricycle;
  - (c) a sensory table; or
  - (d) a playhouse that sits on the ground or floor and does not have an

- attached slide, swing, or climber.
- (48) "Strangulation Hazard" means **an item where** a child's clothes or drawstrings could become caught or entangled **including:**
    - (a) a protruding bolt end that extends more than two threads beyond the face of the nut;
    - (b) hardware that forms a hook or leaves a gap or space between components **including** a protruding open S-hook; or
    - (c) a rope, cord, or chain that is attached to a structure and is long enough to encircle a child's neck.
  - (49) "Toddler" means a child age 12 through 23 months old.
  - (50) "Unsupervised Contact" means being with, caring for, communicating with, or touching a child in the absence of a caregiver or other employee who is at least 18 years old and **is considered eligible by CCL.**
  - (51) "Use Zone" means the area beneath and surrounding a play structure or piece of equipment that is designated for unrestricted movement around the equipment, and **where** a child falling from or exiting the equipment could be expected to land.
  - (52) "Working Days" means the days of the week the department is open for business.

#### **R430-50-3. Residential Certificate Required.**

- (1) An individual shall **become c**ertified as a residential child care provider if they provide care:
  - (a) in the provider's home;
  - (b) in the absence of the child's parent;
  - (c) for **seven or** eight unrelated children;
  - (d) for four or more hours a day;
  - (e) for each individual child for less than 24 hours a day;
  - (f) on a regularly scheduled, ongoing basis; and
  - (g) for direct or indirect compensation.
- (2) **An individual shall become certified by the department as a child care provider if they provide child care in the person's home for more than ten children in total under the age of 13, or under the age of 18 if a child has a disability, regardless of whether a child is related to the person providing child care.**
- (3) A person who is not required to be **come** certified may voluntarily become certified, except for care that is for related children only or on a sporadic basis.

#### **R430-50-4. Residential Certificate Application, Renewal, Changes, and Variances.**

- (1) Each applicant for a new residential certificate shall:
  - (a) submit a CCL online application;
  - (b) submit a copy of a current local fire clearance or a written statement from the local fire authority that a fire inspection is not required;
  - (c) submit a copy of a current local health department kitchen clearance for a facility providing food service or a written statement from the local health department that a kitchen inspection is not required;
  - (d) submit a copy of a current local business license or a written statement from the city that a business license is not required;
  - (e) complete CCL background checks for covered individuals as required in Section R430-50-8;
  - (f) complete CCL new provider training no more than six months before

- becoming certified; and
- (g) pay any required fees, **that are** nonrefundable.
- (2) Each applicant shall pass a department's inspection of the facility before a new residential certificate or a renewal is issued.
- (3) If the local fire authority states in writing that an applicant for a new residential certificate or a renewal does not require a fire inspection, the department shall verify the applicant's compliance with the following:
- (a) address numbers and letters are readable from the street;
  - (b) exit doors operate properly and are well maintained;
  - (c) there are no obstructions in exits, aisles, corridors, and stairways;
  - (d) there is at least one unobstructed fire extinguisher that is currently charged, serviced, and mounted not more than five feet above the floor;
  - (e) there are working smoke detectors that are properly installed on each level of the building; and
  - (f) boiler, mechanical, and electrical panel rooms are not used for storage.
- (4) If an applicant for a new residential certificate serves food and the local health department states in writing that a kitchen inspection is not required, the department shall verify the applicant's compliance with the following:
- (a) the refrigerator is clean, in good repair, and working at or below 41 degrees Fahrenheit;
  - (b) there is a working thermometer in the refrigerator;
  - (c) there is a working stem thermometer available to check cooking and hot hold temperatures;
  - (d) reusable food holders, utensils, and food preparation surfaces are washed, rinsed, and sanitized before each use;
  - (e) chemicals are stored away from food and food service items;
  - (f) food is properly stored, **maintained at** the proper temperature, and in good condition; and
  - (g) there is a working handwashing sink in the kitchen.
- (5) Each applicant shall have six months from the time any portion of the application is submitted to finish the residential certificate process. If unsuccessful, the applicant shall reapply. Any resubmission **shall** include the required documentation, payment of certification fees, and a new inspection of the facility to be **come** certified.
- (6) The department may deny an application for a residential certificate if, within the five years preceding the application date, the applicant held a license or a residential certificate that was:
- (a) closed under an immediate closure;
  - (b) revoked;
  - (c) closed as a result of a settlement agreement resulting from a notice of intent to revoke, a notice of revocation, or a notice of immediate closure;
  - (d) voluntarily closed after an inspection of the facility found a rule violation that would have resulted in a notice of intent to revoke or a notice of revocation had the provider not closed voluntarily; or
  - (e) voluntarily closed having unpaid fees or civil money penalties issued by the department.
- (7) Each child care residential certificate expires at midnight on the last day of the month shown on the residential certificate, unless the residential certificate was previously revoked by the department, or voluntarily closed by the provider.
- (8) Within 30 to 90 days before a current residential certificate expires, each provider shall submit for renewal:

- (a) an online renewal request;
  - (b) applicable renewal fees;
  - (c) any previous unpaid fees; and
  - (d) a copy of a current fire inspection report.
- (9) The department may grant a provider who fails to renew their residential certificate by the expiration date an additional 30 days to complete the renewal process if the provider pays a late fee.
- (10) The department may deny renewal of a residential certificate for a provider who is no longer caring for children.
- (11) Each provider shall submit a complete application for a new residential certificate at least 30 days before a change of the child care facility's location.
- (12) A provider shall submit a complete online changes request to amend an existing residential certificate at least 30 days before any of the following changes:
- (a) an increase or decrease of residential certificate capacity, including any change to the amount of usable indoor space where child care is provided;
  - (b) a change in the name of the program;
  - (c) a change in the regulation type of the program;
  - (d) a change in the name of the provider; or
  - (e) a transfer of business ownership.
- (13) The department may amend a residential certificate after verifying that the applicant is in compliance with all applicable rules and required fees have been paid. The expiration date of the amended residential certificate remains the same as the previous residential certificate.
- (14) Only the department may assign, transfer, or amend a residential certificate.
- (15) If an applicant or provider cannot comply with a rule but can meet the intent of the rule in another way, the applicant or provider may apply for a variance to that rule by submitting a request to the department.
- (16) **Until the department approves a variance** each provider shall comply with the existing rules.
- (17) If **the department approves** a variance, the provider shall keep a copy of the written approval on-site for review by parents and the department.
- (18) The department may grant variances for up to 12 months.
- (19) The department may revoke a variance if:
- (a) the provider is not meeting the intent of the rule as stated in their approved variance;
  - (b) the provider fails to comply with the conditions of the variance; or
  - (c) a change in statute, rule, or case law affects the basis for the variance.

**R430-50-5. Rule **Noncompliance, Penalties, and Agency Action Reviews.****

- (1) The department may place a program's child care residential certificate on a conditional status for the following causes:
- (a) chronic, ongoing noncompliance with rules;
  - (b) unpaid fees; or
  - (c) a serious rule violation that places children's health or safety in immediate jeopardy.
- (2) The department shall establish the length of the conditional status and set the conditions that the child care provider shall satisfy to remove the conditional status.
- (3) The department may increase monitoring of the program that is on conditional status to verify compliance with rules.



- (4) The department may deny or revoke a residential certificate if the child care provider:
  - (a) fails to meet the conditions of a residential certificate on conditional status;
  - (b) violates the any part of Title 26B, Chapter 2, Part 4, Child Care Licensing;
  - (c) provides false or misleading information to the department;
  - (d) misrepresents information by intentionally altering a residential certificate or any other document issued by the department;
  - (e) fails to allow authorized representatives of the department access to the facility to ensure compliance with this rule;
  - (f) fails to submit or make available to the department any written documentation required to verify compliance with this rule;
  - (g) commits a serious rule violation that results in death or serious harm to a child, or that places a child at risk of death or serious harm; or
  - (h) has committed an illegal act that would exclude an individual from having a residential certificate.
- (5) Within ten working days of receipt of a revocation notice, the provider shall submit to the department the names and mailing addresses of the parents of each enrolled child so the department can notify the parents of the revocation.
- (6) The department may order the immediate closure of a facility if conditions create a clear and present danger to any child in care and may require immediate action to protect the children's health or safety.
- (7) Upon receipt of an immediate closure notice, the provider shall give the department the names and mailing addresses of the parents of each enrolled child so the department can notify the parents of the immediate closure.
- (8) If there is a severe injury or the death of a child in care, the department may order a child care provider to suspend services and prohibit new enrollments, pending a review by the Child Fatality Review Committee or a determination of the probable cause of death or injury by a medical professional.
- (9) An unlicensed person providing care that requires a license or certificate may be charged with a civil money penalty and a class A misdemeanor unless they:
  - (a) stop providing child care that requires a license or certificate; or
  - (b) apply for the appropriate license or certificate within 30 calendar days of notification by the department.
- (10) If a person providing care without the appropriate license agrees to apply for a license but does not submit an application and the required application documents within 30 days, the department may issue a cease and desist order.
- (11) A violation of any rule is punishable by an administrative civil money penalty of up to \$5,000 a day as provided in Section 26B-2-409.
- (12) The department may assess a civil money penalty and also take action to deny, place on conditional status, revoke, immediately close, or refuse to renew a residential certificate.

- (13) The department may deny an application or revoke a residential certificate for failure to pay any required fees, including fees for applications, late fees, returned checks, license changes, additional inspections, conditional monitoring inspections, background checks, civil money penalties, and other fees assessed by the department.
- (14) An applicant or provider may request a **an agency review for** any department decision within **ten** working days of being informed in writing of the decision.

#### **R430-50-6. Administration and Children's Records.**

- (1) The provider shall:
  - (a) be at least 18 years old;
  - (b) be **considered** eligible by a CCL background check before becoming involved with child care;
  - (c) complete the new provider training offered by the department; and
  - (d) complete at least 10 hours of child care training each year, based on the facility's residential certificate date.
- (2) The provider shall protect children from conduct that endangers children in care, or is contrary to the health, welfare, and safety of the public.
- (3) The provider shall know and comply with each applicable federal, state, and local law, ordinance, and rule, and **maintain** **responsibility** for the operation and management of a child care program.
- (4) The provider shall comply with licensing rules any time a child in care is present.
- (5) The provider shall post their unaltered child care residential certificate on the facility premises in a place readily visible and accessible to the public during business hours.
- (6) The provider shall post a current copy of the department's Parent Guide at the facility for parent review during business hours or give a current copy to each parent.
- (7) The provider shall inform parents and the department of any changes to the program's telephone number and other contact information within 48 hours of the change.
- (8) The provider shall:
  - (a) have liability insurance; or
  - (b) inform parents in writing that the provider does not have liability insurance.
- (9) The provider shall ensure that a parent completes an admission and health assessment form for their child before the child is admitted into the child care program.
- (10) The provider shall ensure that each child's admission and health assessment form includes the following information:
  - (a) child's name;
  - (b) child's date of birth;
  - (c) parent's name, address, and phone number, including a daytime phone number;
  - (d) names of individuals authorized by the parent to sign the child out from the facility;
  - (e) **contact** name, address, and phone number if an emergency happens and the provider cannot contact the parent;
  - (f) if available, the name, address, and phone number of an out-of-area emergency contact individual for the child;
  - (g) parent's permission for emergency transportation and emergency medical



- treatment;
  - (h) any known allergies of the child;
  - (i) any known food sensitivities of the child;
  - (j) any chronic medical conditions that the child may have;
  - (k) instructions for special or nonroutine daily health care of the child;
  - (l) current ongoing medications that the child may be taking; and
  - (m) any other special health instructions for the caregiver.
- (11) The provider shall ensure that the admission and health assessment form is:
    - (a) reviewed, updated, and signed or initialed by the parent at least annually; and
    - (b) on-site for review by the department.
  - (12) Before admitting any child younger than five years old into the child care program, including the provider's and employees' own children, the provider shall get the following documentation from the child's parent:
    - (a) current immunizations;
    - (b) a medical schedule to receive required immunizations;
    - (c) a legal exemption; or
    - (d) a 90-day exemption for foster children and children who are homeless.
  - (13) For each child younger than five years old, including the provider's and employees' own children, the provider shall keep their current immunization records on-site for review by the department.
  - (14) The provider shall submit the annual immunization report to the Immunization Program in the Utah Department of Health and Human Services by the date specified by the department.
  - (15) The provider shall ensure that each child's information is confidential and not released without written parental permission except to the department.

**R430-50-7. Personnel and Training Requirements.**

- (1) The provider shall remain present at the home at least 50% of the time each week the program is open for business.
- (2) If the provider is not present, the provider shall ensure that there is at least one covered individual who is 18 years old or older present at the facility when there is a child in care.
- (3) The provider shall ensure that any covered individual caring for the children is supervised, qualified, and trained to:
  - (a) meet the needs of the children as required by this rule; and
  - (b) be in compliance with licensing requirements under Rule R430-50.
- (4) The provider shall ensure that caregivers:
  - (a) are at least 16 years old;
  - (b) are considered eligible by a CCL background check before becoming involved with child care;
  - (c) complete the 2-1/2 hour preservice training offered by the department before caring for children;
  - (d) know and follow any applicable laws and requirements under Rule R430-50; and
  - (e) complete at least ten hours of child care training each year, based on the facility's residential certificate date, or at least 45 minutes of child care training each month they work if hired partway through the facility's licensing year.
- (5) The provider shall ensure that any other staff including drivers, cooks, and clerks:

- (a) are considered eligible by a CCL background check before becoming involved with child care;
  - (b) complete the 2-1/2 hour preservice training offered by the department before beginning job duties;
  - (c) know and follow any applicable laws and requirements under Rule R430-50;
  - (d) are introduced to other staff and to the caregiver's assigned group; and
  - (e) review the information in each child's health assessment in the caregiver's assigned group, including allergies, food sensitivities, and other individual needs.
- (6) The provider shall ensure that volunteers are considered eligible by a CCL background check before becoming involved with child care.
- (7) The provider shall submit a background check as required in Section R430-50-8 for each guest who is 12 years old and older and stays in the home for more than two weeks.
- (8) The provider shall ensure that household members who are:
- (a) 12 to 17 years old are considered eligible by a CCL background check; and
  - (b) 18 years old or older are considered eligible by a CCL background check that includes fingerprints.
- (9) The provider shall ensure that individuals who provide Individualized Educational Plan or Individualized Family Service plan (IFSP) services including physical, occupational, or speech therapists:
- (a) provide proper identification before having access to the facility or to a child at the facility; and
  - (b) have received the child's parent's permission for services to take place at the facility.
- (10) The provider shall ensure that individuals from law enforcement, Child Protective Services, the department, and any similar entities provide proper identification before having access to the facility or to a child at the facility.
- (11) The provider shall ensure that each covered individual required to complete preservice training receives the 2-1/2 hour preservice training offered by the department that includes at least the following topics:
- (a) applicable laws and requirements under Rule R430-50;
  - (b) children whose special needs may include disabilities;
  - (c) recognizing the signs of homelessness and available assistance;
  - (d) building and physical premises safety;
  - (e) prevention, signs, and symptoms of child abuse and neglect, including child sexual abuse, and legal reporting requirements;
  - (f) pediatric first aid and CPR;
  - (g) emergency preparedness, response, and recovery plan;
  - (h) prevention of and response to emergencies due to food and allergy reactions;
  - (i) safe handling and disposal of hazardous materials and bio contaminants;
  - (j) prevention and control of infectious diseases including immunizations;
  - (k) administration of medication;
  - (l) child and brain development, including the social, emotional, physical, cognitive, and language principles of child growth ;
  - (m) precautions in transporting children;
  - (n) prevention of shaken baby syndrome, abusive head trauma, child maltreatment, and coping with crying babies; and
  - (o) prevention of sudden infant death syndrome and the use of safe sleeping

**practices.**

- (12) The provider shall ensure that annual child care training includes at least the following topics:
  - (a) current department rule Sections R430-50-7 through R430-50-24; **and**
  - (b) **each topic listed in Subsections R430-50-7(11)(a) through (o).**
- (13) The provider shall ensure that at least half of the required annual training is interactive.
- (14) The provider shall ensure that at least one covered individual with a current Red Cross, American Heart Association, or equivalent pediatric first aid and CPR certification is present when children are in care:
  - (a) at the facility;
  - (b) in each vehicle transporting children; and
  - (c) at each offsite activity.
- (15) The provider shall ensure that CPR certification includes hands-on testing.
- (16) The provider shall ensure that current pediatric first aid and CPR certification records for each covered individual required by this rule to have them are on-site for review by the department.

**R430-50-8. Background Checks.**

- (1) **Before a new covered individual becomes involved with child care, the provider shall use the CCL provider portal search to verify that the individual is eligible and:**
  - (a) **associate that individual with their facility; or**
  - (b) **not associate the individual if the individual is associated with another CCL facility and the new individual will be at the facility for no more than one business day.**
- (2) Before a new covered individual who does not appear in the CCL provider portal search becomes involved with child care in the program, the provider shall:
  - (a) have the individual submit an online background check form and fingerprints for individuals age 18 years old and older;
  - (b) authorize the individual's background check through the CCL provider's portal;
  - (c) pay any required fees; and
  - (d) receive written notice from CCL that the individual is eligible.
- (3) To keep their background check eligibility current, the provider shall also ensure that a new background check form and fingerprints are submitted and authorized and fees are paid for any covered individual who has:
  - (a) resided outside of Utah since their last background check was completed;
  - (b) not been associated with an active, CCL approved child care facility within the past 180 days; or
  - (c) turned 18 years old and has not previously submitted fingerprints for a CCL background check, **except when** the 18-year-old has previously submitted fingerprints for a CCL background check, **then only** a new background check form will be required.
- (4) Within ten working days from when a child who resides in the facility turns 12 years old, the provider shall:
  - (a) ensure that an online background check form is submitted;
  - (b) authorize the child's background check through the CCL provider's portal; and
  - (c) pay any required fees.
- (5) The provider shall ensure that fingerprints are prepared by a local law enforcement

- agency or an agency approved by local law enforcement.
- (6) If **the provider submits** fingerprints electronically through live scan, the provider shall ensure that the agency taking the fingerprints is one that follows the department's guidelines.
  - (7) The department may consider a covered individual not eligible for any of the following reasons:
    - (a) LIS supported findings;
    - (b) the covered individual's name appears on the Utah or national sex offender registry;
    - (c) the covered individual refuses to consent to the criminal background check;
    - (d) the covered individual knowingly makes a false statement in connection with their background check;
    - (e) any felony convictions; or
    - (f) for any of the reasons listed under Subsection R430-50-8(8).
  - (8) The department may also consider a covered individual not eligible for any of the following convictions regardless of severity:
    - (a) child pornography;
    - (b) sexual enticing of a minor;
    - (c) voyeurism;
    - (d) a sexual exploitation act;
    - (e) pornographic material or performance;
    - (f) any crime against an individual;
    - (g) providing dangerous weapons or firearms to a minor; or
    - (h) driving under the influence while a child is present in the vehicle.
  - (9) The department shall consider a covered individual eligible if the only background finding is a conviction or plea of no contest to a nonviolent drug offense that occurred ten or more years before the CCL background check was conducted.
  - (10) If **CCL finds t**he provider is not eligible, the department may suspend or deny their license until the reason for the background check finding is resolved.
  - (11) If **CCL finds a** covered individual is not eligible by CCL, including that the individual has been convicted, has pleaded no contest, or is currently subject to a plea in abeyance or diversion agreement for a felony or misdemeanor, the provider shall prohibit that individual from being employed by the child care program or residing at the facility until the reason for the background check finding is resolved.
  - (12) If **CCL denies a** covered individual a license or employment based upon the criminal background check and disagrees with the information provided by the Department of Public Safety, the covered individual may appeal the information to the Department of Public Safety.
  - (13) The provider and the covered individual shall notify the department within 48 hours of becoming aware of the covered individual's arrest warrant, felony or misdemeanor arrest, charge, conviction, or supported LIS finding. Failure to notify the department within 48 hours may result in disciplinary action, including revocation of the **certificate**.
  - (14) The Executive Director of the department **or their designee m**ay overturn a CCL background check decision if **they** determine that the nature of the background finding or mitigating circumstances do not pose a risk to children.

#### **R430-50-9. Facility.**

- (1) The provider shall ensure that there is at least 35 square feet of indoor space for each child in care, including the provider's and employees' children.

- (2) The department may include floor space used for furniture, fixtures, or equipment as indoor space per child if the furniture, fixture, or equipment is used:
  - (a) by children;
  - (b) for the care of children; or
  - (c) to store materials for children.
- (3) The department may not include the following areas when measuring indoor space for children's use:
  - (a) bathrooms;
  - (b) closets;
  - (c) hallways;
  - (d) lobbies; and
  - (e) entryways.
- (4) The provider shall ensure that the number of children in care at any given time does not exceed the capacity identified on the residential certificate, except when providing after school child care for up to two additional school-age children.
- (5) The provider shall ensure that any building or play structure on the premises constructed before 1978 that has peeling, flaking, chalking, or failing paint is tested for lead. If lead-based paint is found, the provider shall contact their local health department within five working days and follow required procedures for remediation of the lead hazard.
- (6) The provider shall ensure that each room and indoor area that is used by children is ventilated by mechanical ventilation, or by windows that open and have screens.
- (7) The provider shall ensure that rooms and areas have adequate light intensity for the safety of the children and the type of activity being conducted.
- (8) The provider shall maintain the indoor temperature between 65 and 82 degrees Fahrenheit.
- (9) The provider shall ensure that there is a working telephone at the facility, in each vehicle while transporting children, and during offsite activities.
- (10) The provider shall ensure that there is at least one working toilet and at least one working handwashing sink accessible to each nondiapered child in care.
- (11) The provider shall ensure that there is at least one bathroom that provides privacy available for use by school-age children.
- (12) If there is a swimming pool on the premises that the provider does not empty after each use, the provider shall:
  - (a) meet applicable state and local laws and ordinances related to the operation of a swimming pool;
  - (b) maintain the pool in a safe manner; and
  - (c) when not in use, cover the pool with a commercially-made safety enclosure that is installed according to the manufacturer's instructions, or enclose the pool within at least a four-foot-high fence or solid barrier that is locked and that separates the pool from any other areas on the premises.
- (13) If there is a hot tub with water in it on the premises, the provider shall make the hot tub inaccessible to children by:
  - (a) keeping the hot tub locked with a properly working cover; or
  - (b) enclosing the hot tub within at least a four-foot-high fence or solid barrier that is locked and that separates the hot tub from any other

areas on the premises.

- (14) The provider shall maintain buildings and outdoor areas in good repair and safe condition including:
  - (a) ceilings, walls, and floor coverings;
  - (b) lighting, bathroom, and other fixtures;
  - (c) draperies, blinds, and other window coverings;
  - (d) indoor and outdoor play equipment;
  - (e) furniture, toys, and materials accessible to the children; and
  - (f) entrances, exits, steps, and walkways including keeping them free of ice, snow, and other hazards.
- (15) The provider shall ensure that accessible raised decks or balconies that are five feet or higher, and open stairwells that are five feet or deeper have protective barriers that are at least three feet high.
- (16) If the house is subdivided, any part of the building is rented out, or any area of the facility is shared including the outdoor area, the department may inspect the entire facility and the provider shall ensure that covered individuals in the facility comply with this rule, except when the following conditions are met:
  - (a) there is a signed rental or lease agreement for the rented area;
  - (b) there is a separate mailing address for the rented area;
  - (c) there is a separate entrance for the child care program;
  - (d) there are no connecting interior doorways that can be used by unauthorized individuals; and
  - (e) there is no shared access to the outdoor area, unless a qualified caregiver is with the children each time children in care are using the outdoor area.
- (17) If there is an outdoor area used by children in care, the provider shall comply with Subsections R430-50-9(19) through R430-50-9(24).
- (18) The provider shall ensure that the outdoor area is safely accessible to children.
- (19) The provider shall ensure that the outdoor area has at least 40 square feet of space for each child using the area at one time.
- (20) The provider shall ensure that the outdoor area is enclosed within a fence, wall, or solid natural barrier that is at least four feet high if the facility is on a street or within a half mile of a street that:
  - (a) has a speed of 25 miles per hour or higher; or
  - (b) has more than two lanes of traffic.
- (21) The provider shall ensure that the following hazards are separated from the children's outdoor area with a fence, wall, or solid natural barrier that is at least four feet high:
  - (a) barbed wire that is within 30 feet of the children's play area;
  - (b) livestock on or within 50 yards of the property line;
  - (c) dangerous machinery, **including** farm equipment, on or within 50 yards of the property line;
  - (d) a drop-off of more than five feet on or within 50 yards of the property line; and
  - (e) a water hazard, **including** a swimming pool, pond, ditch, lake, reservoir, river, stream, creek, or animal watering trough, on or within 100 yards of the property line.
- (22) The provider shall ensure that there is no gap five by five inches or greater in or under the fence or barrier.



- (23) The provider shall ensure that there is shade available to protect the children from excessive sun and heat when children are in the outdoor area.

**R430-50-10. Ratios and Group Size.**

- (1) The provider shall maintain at least one caregiver for up to eight children in care.
- (2) When caring for children younger than two years old, the provider shall ensure that:
- (a) there is at least one caregiver for every three children younger than two years old;
  - (b) each caregiver cares for no more than two children younger than 18 months old; and
  - (c) there are at least two caregivers if more than three children younger than two years old are present and there are more than six children in care.
- (3) The provider shall include the provider's and employees' children age four years old or older in care:
- (a) in the group size when the parent of the child is working at the facility; and
  - (b) in the group size and the caregiver-to-child ratio when the parent of the child is not working at the facility.

**R430-50-11. Child Supervision and Security.**

- (1) The provider shall ensure that caregivers provide and maintain active supervision of each child, including:
- (a) a caregiver is inside the home when a child in care is inside the home;
  - (b) a caregiver is in the outdoor area when a child younger than five years old is in the outdoor area;
  - (c) caregivers know the number of children in their care at any time; and
  - (d) caregivers' attention is focused on the children and not on caregivers' personal interests.
- (2) The provider shall ensure that staff and household members who are 16 or 17 years old only have unsupervised contact with any child in care, including during offsite activities and transportation when:
- (a) the provider or an eligible adult is physically present and available as needed; and
  - (b) they are not volunteers.
- (3) The provider shall ensure that staff, volunteers, and household members who are younger than 16 years old are not assigned to care for or supervise any child in care.
- (4) The provider shall ensure that guests do not have unsupervised contact with any child in care, including during offsite activities and transportation.
- (5) The provider shall ensure that parents of children in care do not have unsupervised contact with any child in care, except with their own children.
- (6) The provider may allow school-age children to go outdoors while caregivers are indoors if:
- (a) a caregiver can hear the children when children are outdoors; and
  - (b) the children are in an area completely enclosed within a fence, wall, or solid natural barrier that is at least four feet high.
- (7) The provider shall ensure that a caregiver monitors each sleeping infant by:
- (a) placing each infant to sleep within the sight and hearing of a caregiver; or
  - (b) personally observing each sleeping infant at least once every 15 minutes.
- (8) The provider may allow a child to participate in supervised offsite activities without a caregiver if:

- (a) the provider has prior written permission from the child's parent for the child's participation; and
  - (b) the provider has clearly assigned the responsibility for the child's whereabouts and supervision to a responsible adult who accepts that responsibility throughout the period of the offsite activity.
- (9) The provider shall ensure that parents have access to their child and the areas used to care for their child when their child is in care.
- (10) To maintain security and supervision of children, the provider shall ensure that:
- (a) each child is signed in and out;
  - (b) only parents or individuals with written authorization from the parent may sign-out a child;
  - (c) photo identification is required if the individual signing the child out is unknown to the provider;
  - (d) individuals signing children in and out use identifiers, **including** a signature, initials, or electronic code;
  - (e) the sign-in and sign-out records include the date and time each child arrives and leaves; and
  - (f) there is written permission from the child's parent if school-age children sign themselves in or out.
- (11) In an emergency, the provider shall accept the parent's verbal authorization to release a child if the provider can confirm the identity of:
- (a) the individual giving verbal authorization; and
  - (b) the individual picking up the child.

**R430-50-12. Child Guidance and Interaction.**

- (1) The provider shall ensure that no child is subjected to physical, emotional, or sexual abuse while in care.
- (2) The provider shall inform parents, children, and those who interact with the children of the facility's behavioral expectations and how any misbehavior will be handled.
- (3) The provider shall ensure that individuals who interact with the children guide children's behavior by using positive reinforcement, redirection, and by setting clear limits that promote children's ability to become self-disciplined.
- (4) The provider shall ensure that caregivers use gentle, passive restraint with children only when it is needed to protect children from injuring themselves or others, or to stop them from destroying property.
- (5) The provider shall ensure that interactions with the children do not include:
  - (a) any form of corporal punishment or any action that produces physical pain or discomfort **including** hitting, spanking, shaking, biting, or pinching;
  - (b) restraining a child's movement by binding, tying, or any other form of restraint that exceeds gentle, passive restraint;
  - (c) shouting at children;
  - (d) any form of emotional abuse;
  - (e) forcing or withholding food, rest, or toileting; or
  - (f) confining a child in a closet, locked room, or other enclosure **including** a box, cupboard, or cage.
- (6) Any individual who witnesses or suspects that a child has been subjected to abuse, neglect, or exploitation shall immediately notify Child Protective Services or law enforcement as required in **Section 80-2-602**.

### **R430-50-13. Child Safety and Injury Prevention.**

- (1) The provider shall ensure that the building, outdoor area, toys, and equipment are used in a safe manner and as intended by the manufacturer to prevent injury to children.
- (2) The provider shall ensure that poisonous and harmful plants are inaccessible to children.
- (3) The provider shall ensure that sharp objects, edges, corners, or points that could cut or puncture skin are inaccessible to children.
- (4) The provider shall ensure that choking hazards are inaccessible to children younger than three years old.
- (5) The provider shall ensure that strangulation hazards **including** ropes, cords, chains, and wires attached to a structure and long enough to encircle a child's neck are inaccessible to children.
- (6) The provider shall ensure that tripping hazards **including** unsecured flooring, rugs with curled edges, or cords in walkways are inaccessible to children.
- (7) The provider shall ensure that empty plastic bags large enough for a child's head to fit inside, latex gloves, and balloons are inaccessible to children younger than five years old.
- (8) The provider shall ensure that standing water that measures two inches or deeper and five by five inches or greater in diameter is inaccessible to children.
- (9) The provider shall ensure that toxic or hazardous chemicals **including** cleaners, insecticides, lawn products, and flammable, corrosive, and reactive materials are:
  - (a) inaccessible to children;
  - (b) used according to manufacturer instructions;
  - (c) stored in containers labeled with the contents of the container; and
  - (d) disposed of properly.
- (10) The provider shall ensure that the following items are inaccessible to children:
  - (a) matches or cigarette lighters;
  - (b) open flames;
  - (c) hot wax or other hot substances; and
  - (d) when in use, portable space heaters, wood burning stoves, and fireplaces.
- (11) The provider shall ensure that the following items are inaccessible to children:
  - (a) live electrical wires; and
  - (b) for children younger than five years old, electrical outlets and surge protectors without protective caps or safety devices when not in use.
- (12) Unless used and stored as allowed by law, the provider shall ensure that firearms **including** guns, muzzleloaders, rifles, shotguns, hand guns, pistols, and automatic guns are:
  - (a) locked in a cabinet or area using a key, combination lock, or fingerprint lock; and
  - (b) stored unloaded and separate from ammunition.
- (13) The provider shall ensure that weapons **including** paintball guns, BB guns, airsoft guns, sling shots, arrows, and mace are inaccessible to children.
- (14) The provider shall ensure that alcohol, illegal substances, and sexually explicit material are inaccessible, and not used on the premises, during offsite activities, or in facility vehicles any time a child is in care.

- (15) The provider shall ensure that an outdoor source of drinking water, **including** individually labeled water bottles, a pitcher of water and individual cups, or a working water fountain is available to each child when the outside temperature is 75 degrees or higher.
- (16) The provider shall ensure that areas accessible to children are free of heavy or unstable objects that children could pull down on themselves, **including** furniture, unsecured televisions, and standing ladders.
- (17) The provider shall ensure that hot water accessible to children does not exceed 120 degrees Fahrenheit.
- (18) The provider shall ensure that highchairs that are used by children have T-shaped safety straps or safety devices that are used when a child is in the chair.
- (19) The provider shall ensure that infant walkers with wheels are inaccessible to children.
- (20) The provider shall ensure that tobacco, e-cigarettes, e-juice, e-liquids, and similar products are inaccessible and, in compliance with the Utah Indoor Clean Air Act, not used:
  - (a) in the facility or any other building when a child is in care;
  - (b) in any vehicle that is being used to transport a child in care;
  - (c) within 25 feet of any entrance to the facility or other building occupied by a child in care; or
  - (d) in any outdoor area or within 25 feet of any outdoor area occupied by a child in care.

**R430-50-14. Emergency Preparedness, Response, and Recovery.**

- (1) The provider shall have an emergency preparedness, response, and recovery plan that:
  - (a) includes procedures for evacuation, relocation, shelter in place, lockdown, communication with and reunification of families, and continuity of operations;
  - (b) includes procedures for accommodations for infants and toddlers, children with disabilities, and children with chronic medical conditions;
  - (c) includes instructions to follow in case of an allergy or serious reaction to food or any other trigger that may affect the child's health; and**
  - (d) is followed if an emergency happens, unless otherwise instructed by emergency personnel.
- (2) The provider shall post the home's street address and emergency numbers, including at least fire, police, and poison control, near the telephone or in an area clearly visible to anyone needing the information.
- (3) The provider shall keep first aid supplies in the facility, including at least antiseptic, bandages, and tweezers.
- (4) The provider shall conduct fire evacuation drills every six months and make sure drills include a complete exit of each child, staff, and volunteers from the building.
- (5) The provider shall conduct drills for disasters other than fires at least once every 12 months.
- (6) The provider shall vary the days and times **when** fire and other disaster drills are held.
- (7) The provider shall:
  - (a) give parents a written report on the day of occurrence of each incident,

- accident, or injury involving their child;
  - (b) ensure the report has the signatures of the caregivers involved, the provider, and the individual picking up the child; and
  - (c) if school-age children sign themselves out of the facility, send a copy of the report to the parent on the day following the occurrence.
- (8) If a child is injured and the injury appears serious but not life-threatening, the provider shall contact the child's parent immediately.
- (9) If a life-threatening injury to a child, or an injury that poses a threat of the loss of vision, hearing, or a limb happens, the provider shall:
- (a) call emergency personnel immediately;
  - (b) contact the parent after emergency personnel are called; and
  - (c) if the parent cannot be reached, try to contact the child's emergency contact individual.
- (10) If a child is injured while in care and receives medical attention, or for a child fatality, the provider shall:
- (a) submit a completed accident report form to the department within the next business day of the incident; or
  - (b) contact the department within the next business day and submit a completed accident report form within five business days of the incident.
- (11) If the provider must leave the children due to an emergency and a background checked covered individual who is at least 18 years old or older is not available to stay with the children, the provider may leave the children in the care of an emergency substitute who:
- (a) is at least 18 years old;
  - (b) substitutes the caregiver for the minimum time possible and for less than one business day; and
  - (c) signs a written background statement before being left alone with the children.
- (12) Before leaving for the emergency, the provider shall obtain a signed, written background statement from the emergency substitute stating that the emergency substitute:
- (a) has not been convicted of a felony;
  - (b) has not been convicted of a crime against a person;
  - (c) is not listed on the state or national sex offender registry; and
  - (d) is not being investigated for abuse or neglect by any federal, state, or local government agency.
- (13) Within five working days after the occurrence, the provider shall submit emergency substitute's written background statements to the department for review.

**R430-50-15. Health and Infection Control.**

- (1) The provider shall keep the building, furnishings, equipment, and outdoor area clean and sanitary including:
- (a) walls and flooring clean and free of spills, dirt, and grime;
  - (b) areas and equipment used for the storage, preparation, and service of food clean and sanitary;
  - (c) surfaces free of rotting food or a build-up of food;
  - (d) the building and grounds free of a build-up of litter, trash, and garbage;
  - (e) frequently touched surfaces, including doorknobs and light switches, cleaned and sanitized; and
  - (f) the facility free of animal feces.

- (2) The provider shall take safe and effective measures to prevent and eliminate the presence of insects, rodents, and other pests.
- (3) The provider shall clean and sanitize any toys and materials used by children:
  - (a) at least once a week or more often if needed;
  - (b) after being put in a child's mouth and before another child plays with the toy; and
  - (c) after being contaminated by a body fluid.
- (4) The provider shall ensure that fabric toys and items **including** stuffed animals, cloth dolls, pillow covers, and dress-up clothes are machine washable and if used, washed at least each week or as needed.
- (5) The provider shall clean and sanitize highchair trays before each use.
- (6) The provider shall clean and sanitize water play tables or tubs daily if used by the children.
- (7) The provider shall clean and sanitize bathroom surfaces including toilets, sinks, faucets, toilet and sink handles, and counters each day the facility is open for business.
- (8) The provider shall clean and sanitize potty chairs after each use.
- (9) The provider shall keep toilet paper in a dispenser that is accessible to children.
- (10) The provider shall ensure that staff and volunteers wash their hands thoroughly with soap and running water:
  - (a) upon arrival;
  - (b) before handling or preparing food or bottles;
  - (c) before and after eating meals and snacks or feeding a child;
  - (d) after using the toilet or helping a child use the toilet;
  - (e) after contact with a body fluid;
  - (f) when coming in from outdoors; and
  - (g) after cleaning up or taking out garbage.
- (11) The provider shall ensure that caregivers teach children how to wash their hands thoroughly and oversee handwashing when possible.
- (12) The provider shall ensure that children wash their hands thoroughly with soap and running water:
  - (a) upon arrival;
  - (b) before and after eating meals and snacks;
  - (c) after using the toilet;
  - (d) after contact with a body fluid;
  - (e) before using a water play table or tub; and
  - (f) when coming in from outdoors.
- (13) The provider shall ensure that only single-use towels, an electric hand dryer, or individually labeled cloth towels are used to dry hands.
- (14) The provider shall ensure that if cloth towels are used, cloth towels are:
  - (a) not shared; and
  - (b) washed daily.
- (15) The provider shall store personal hygiene items, **including** toothbrushes, combs, and hair accessories separate, so they do not touch each other, and ensure they are not shared or they are sanitized between each use.
- (16) The provider shall ensure that pacifiers, bottles, and nondisposable drinking cups are:
  - (a) labeled with each child's name or individually identified; and
  - (b) not shared, or washed and sanitized before being used by another child.
- (17) The provider shall ensure that a child's clothing is promptly changed if the child has



- a toileting accident.
- (18) The provider shall ensure that children's clothing that is wet or soiled from a body fluid is:
    - (a) washed and dried; or
    - (b) placed in a leakproof container that is labeled with the child's name and returned to the parent.
  - (19) The provider shall take precautions when cleaning floors, furniture, and other surfaces contaminated by blood, urine, feces, or vomit, and ensure that, except for diaper changes and toileting accidents, staff cleaning these bodily fluids:
    - (a) wear waterproof gloves;
    - (b) clean the surface using a detergent solution;
    - (c) rinse the surface with clean water;
    - (d) sanitize the surface;
    - (e) throw away in a leakproof plastic bag the disposable materials, including paper towels, that were used to clean up the body fluid;
    - (f) wash and sanitize any nondisposable materials used to clean up the body fluid, including cleaning cloths, mops, or reusable rubber gloves, before reusing them; and
    - (g) wash their hands after cleaning up the body fluid.
  - (20) If a child becomes ill while in care, the provider shall:
    - (a) as soon as the illness is observed or suspected, contact the child's parent or, if the parent cannot be reached, an individual listed as the emergency contact; and
    - (b) If the child is ill with an infectious disease, make the child comfortable in a safe, supervised area that is separated from the other children until the parent arrives.
  - (21) The provider shall notify the parents of each child in care if any child, employee, or person in the home has an infectious disease or parasite, on the day the illness is discovered.
  - (22) If any child or employee has an infectious disease, an unusual or serious illness, or a sudden onset of an illness, the provider shall notify the local health department on the day the illness is discovered.
  - (23) To prevent contamination of food, the spread of foodborne illnesses, and other diseases, the provider shall ensure that individuals with an infectious disease or showing symptoms including diarrhea, fever, coughing, or vomiting do not prepare or serve foods.

#### **R430-50-16. Food and Nutrition.**

- (1) The provider shall offer a meal or snack to each child age two years old and older at least once every three hours.
- (2) If the provider supplies the food for children's meals or snacks, the provider shall ensure that:
  - (a) the meal service meets local health department food service rules;
  - (b) the foods that are served meet the nutritional requirements of the USDA Child and Adult Care Food Program (CACFP) whether or not the provider participates in the CACFP;
  - (c) the provider uses the CACFP meal pattern requirements, the standard department-approved menus, or menus approved by a registered dietitian, and that dietitian approval is noted and dated on the menus, and current within the past five years;

- (d) the current week's menu is posted for review by parents and the department; and
- (e) if not participating or in good standing with the CACFP, keep a six-week record of foods served at each meal and snack.
- (3) The provider shall ensure that the individual who serves food to children:
  - (a) is aware of the children in their assigned group who have food allergies or sensitivities; and
  - (b) ensures that the children are not served the food or drink they are allergic or sensitive to.
- (4) The provider may not place children's food on a bare table, and shall serve children's food on dishes, napkins, or sanitary highchair trays, except an individual finger food **including** a cracker, **that** may be placed directly in a child's hand.
- (5) If parents bring food and drink for their child's use, the provider shall ensure that the food is:
  - (a) labeled with the child's name;
  - (b) refrigerated if needed; and
  - (c) consumed only by that child.

**R430-50-17. Medications.**

- (1) The provider shall make medications inaccessible to children in care.
- (2) The provider shall lock refrigerated medications or store them at least 36 inches above the floor and, if liquid, store them in a separate leakproof container.
- (3) If parents supply any over-the-counter or prescription medications, the provider shall ensure those medications are:
  - (a) labeled with the child's full name;
  - (b) in the original or pharmacy container;
  - (c) have the original label; and
  - (d) have child safety caps.
- (4) The provider shall have a written medication permission form completed and signed by the parent before administering any medication supplied by the parent for their child.
- (5) The provider shall ensure that the medication permission form includes at least:
  - (a) the name of the child;
  - (b) the name of the medication;
  - (c) written instructions for administration; and
  - (d) the parent signature and the date signed.
- (6) The provider shall ensure that instructions for administering the medication include at least:
  - (a) the dosage;
  - (b) how the medication will be given;
  - (c) the times and dates to administer the medication; and
  - (d) the disease or condition being treated.
- (7) If the provider supplies an over-the-counter medication for children's use, the provider shall ensure that the medication is not administered to any child without previous parental consent for each instance it is given. The provider shall ensure that the consent is:
  - (a) written; or
  - (b) verbal, if the date and time of the consent is documented and signed by the parent upon picking up their child.

- (8) The provider shall ensure that the staff administering the medication:
  - (a) washes their hands;
  - (b) check the medication label to confirm the child's name if the parent supplied the medication;
  - (c) checks the medication label or the package to ensure that a child is not given a dosage larger than that recommended by the health care professional or manufacturer; and
  - (d) administers the medication.
- (9) The provider shall ensure that immediately after administering a medication, the staff giving the medication records the following information:
  - (a) the date, time, and dosage of the medication given;
  - (b) any error in administering the medication or adverse reactions; and
  - (c) their signature or initials.
- (10) The provider shall report to the parent a child's adverse reaction to a medication or error in administration of the medication immediately upon recognizing the reaction or error, or after notifying emergency personnel if the reaction is life-threatening.
- (11) The provider shall notify the parent before the time a **child requires medication**, if the provider chooses not to administer medication as instructed by the parent.
- (12) The provider shall keep a six-week record of medication permission and administration forms on-site for review by the department.

#### **R430-50-18. Activities.**

- (1) The provider shall offer daily activities that support each child's healthy physical, social, emotional, cognitive, and language development.
- (2) The provider shall ensure that physical development activities include light, moderate, and vigorous physical activity for a daily total of at least 15 minutes for every two hours children spend in the program.
- (3) The provider shall ensure that toys, materials, and equipment needed to support children's healthy development are available to the children.
- (4) Except for occasional special events, the provider shall ensure that the children's primary screen time activity on media **including** television, cell phones, tablets, and computers is:
  - (a) not allowed for children zero to 17 months old;
  - (b) limited for children 18 months to four years old to one hour a day, or five hours a week with a maximum screen time of two hours per activity; and
  - (c) planned to address the needs of children five to 12 years old.
- (5) If swimming activities are offered or if wading pools are used, the provider shall ensure that:
  - (a) the parent gives permission before their child in care uses the pool;
  - (b) caregivers stay at the pool supervising when a child is in the pool or has access to the pool, and when an accessible pool has water in it;
  - (c) diapered children wear swim diapers when they are in the pool;
  - (d) wading pools are emptied and sanitized after use by each group of children;
  - (e) if the pool is over four feet deep, there is a lifeguard on duty who is certified by the Red Cross or other approved certification program any time children have access to the pool; and
  - (f) lifeguards and pool personnel do not count toward the

- caregiver-to-child ratio.
- (6) If **the provider offers** offsite activities, the provider shall ensure that:
    - (a) the parent gives written consent before each activity;
    - (b) the required caregiver-to-child ratio and supervision are maintained during the entire activity;
    - (c) first aid supplies, including at least antiseptic, bandages, and tweezers are available;
    - (d) children's names are not used on name tags, t-shirts, or in other visible ways; and
    - (e) there is a way for caregivers and children to wash their hands with soap and water, or with wet wipes and hand sanitizer if there is no source of running water.
  - (7) The provider shall ensure that a caregiver with the children takes the emergency information and releases for each child in the group on each offsite activity, and that the information includes at least:
    - (a) the child's name;
    - (b) the parent's name and phone number;
    - (c) the name and phone number of an individual to notify if an emergency happens and the parent cannot be contacted;
    - (d) the names of people authorized by the parents to pick up the child; and
    - (e) current emergency medical treatment and emergency medical transportation releases.

#### **R430-50-19. Play Equipment.**

- (1) The provider shall ensure that children using play equipment use it safely and **as** intended by the manufacturer.
- (2) The provider shall ensure that, when in use, stationary play equipment is not placed on a hard surface **including** concrete, asphalt, dirt, or the bare floor.
- (3) Except for trampolines, the provider shall ensure that stationary play equipment with a designated play surface that is 18 inches high or higher:
  - (a) has a surrounding three-foot use zone, free of hard objects or surfaces, that extends from the outermost edge of the equipment;
  - (b) has cushioning that covers the entire required use zone; and
  - (c) is stable or securely anchored.
- (4) The department may consider a trampoline on the premises **is** inaccessible to children in care if the trampoline:
  - (a) is enclosed behind a locked fence or safety net that is at least three feet high;
  - (b) has no jumping mat; or
  - (c) is placed upside down.
- (5) The provider shall ensure that each accessible trampoline without a safety net enclosure has at least a six-foot use zone that is measured from the outermost edge of the trampoline frame, and that is free from any structure or object including play equipment, trees, and fences.
- (6) The provider shall ensure that each accessible trampoline with a properly installed, used as specified by the manufacturer, and in good repair safety net enclosure has at least a three-foot use zone that is measured from the outermost edge of the

- trampoline frame, and that is free from any structure or object including play equipment, trees, and fences.
- (7) The provider shall ensure that each accessible trampoline with or without a safety net enclosure is placed over:
    - (a) grass;
    - (b) a six-inch deep cushioning; or
    - (c) other commercial cushioning.
  - (8) The provider shall ensure that cushioning for each accessible trampoline covers the entire required use zone.
  - (9) The provider shall ensure that each accessible trampoline has:
    - (a) no ladders or other objects within the use zone a child could use to climb on the trampoline; and
    - (b) shock absorbing pads that completely cover the trampoline springs, hooks, and frame.
  - (10) The provider shall receive written permission from a child's parent or legal guardian before that child uses the trampoline.
  - (11) The provider shall ensure that if a child uses an accessible trampoline:
    - (a) a caregiver is at the trampoline supervising;
    - (b) only one person at a time uses the trampoline;
    - (c) no child in care is allowed to do somersaults or flips on the trampoline;
    - (d) no one is **permitted** under the trampoline while the trampoline is in use; and
    - (e) only school-age children in care are allowed to use a trampoline.
  - (12) The provider shall ensure that there are no entrapment hazards on or within the use zone of any piece of stationary play equipment.
  - (13) The provider shall ensure that there are no strangulation hazards on or within the use zone of any piece of stationary play equipment.
  - (14) The provider shall ensure that there are no crush, shearing, or sharp edge hazards on or within the use zone of any piece of stationary play equipment.
  - (15) The provider shall ensure that there are no tripping hazards **including** concrete footings, tree stumps, tree roots, or rocks within the use zone of any piece of stationary play equipment.

**R430-50-20. Transportation.**

- (1) For each child being transported **by the provider**, the provider shall have a transportation permission form:
  - (a) signed by the parent; and
  - (b) on-site for review by the department.
- (2) The provider shall ensure that each vehicle used for transporting children:
  - (a) is enclosed with a roof or top;
  - (b) is equipped with safety restraints;
  - (c) has a current vehicle registration;
  - (d) is maintained in a safe and clean condition; and
  - (e) contains first aid supplies, including at least antiseptic, bandages, and tweezers.
- (3) The provider shall ensure that the safety restraints in each vehicle that transports

children are:

- (a) appropriate for the age and size of each child who is transported, as required by Utah law;
  - (b) properly installed; and
  - (c) in safe condition and working order.
- (4) The provider shall ensure that the driver of each vehicle who is transporting children:
- (a) is at least 18 years old;
  - (b) has and carries with them a current, valid driver's license for the type of vehicle being driven;
  - (c) has with them the emergency contact information for each child being transported;
  - (d) ensures that each child being transported is in an individual safety restraint that is used according to Utah law;
  - (e) ensures that the inside vehicle temperature is between 60-85 degrees Fahrenheit;
  - (f) never leaves a child in the vehicle unattended by an adult;
  - (g) ensures that children stay seated while the vehicle is moving;
  - (h) never leaves the keys in the ignition when not in the driver's seat; and
  - (i) ensures that the vehicle is locked during transport.
- (5) If the provider walks or uses public transportation to transport children to or from the facility, the provider shall ensure that:
- (a) each child being transported has a completed transportation permission form signed by their parent;
  - (b) a caregiver goes with the children and actively supervises the children;
  - (c) the caregiver-to-child ratio is maintained; and
  - (d) a caregiver with the children has written emergency contact information and releases for the children being transported.
- (6) The provider shall:
- (a) have transport liability insurance; or
  - (b) inform parents in writing that the provider does not have transport liability insurance.

#### **R430-50-21. Animals.**

- (1) The provider shall inform parents of the kinds of animals allowed at the facility.
- (2) The provider shall ensure that there is no animal on the premises that:
  - (a) is naturally aggressive;
  - (b) has a history of dangerous, attacking, or aggressive behavior; or
  - (c) has a history of biting even one individual.
- (3) The provider shall ensure that animals at the facility are clean and free of obvious disease or health problems that could adversely affect children.
- (4) The provider shall ensure that there is no animal or animal equipment in food preparation or eating areas.
- (5) The provider shall ensure that children younger than five years old do not assist with the cleaning of animals or animal cages, pens, or equipment.
- (6) If school-age children help in the cleaning of animals or animal equipment, the provider shall ensure that the children wash their hands immediately after cleaning the animal or equipment.
- (7) The provider shall ensure that children and staff wash their hands immediately after playing with or touching reptiles and amphibians.



- (8) The provider shall ensure that dogs, cats, and ferrets that are housed at the facility have current rabies vaccinations.
- (9) The provider shall keep current animal vaccination records on-site for review by the department.

#### **R430-50-22. Rest and Sleep.**

- (1) The provider shall offer children in care a daily opportunity for rest or sleep in an environment with subdued lighting, a low noise level, and freedom from distractions.
- (2) The provider shall ensure that each crib:
  - (a) has a tight-fitting mattress;
  - (b) has slats spaced no more than 2-3/8 inches apart;
  - (c) has at least 20 inches from the top of the mattress to the top of the crib rail, or at least 12 inches from the top of the mattress to the top of the crib rail if the child using the crib cannot sit up without assistance;
  - (d) does not have strings, cords, ropes, or other entanglement hazards on the crib or within reach of the child; and
  - (e) has documentation from the manufacturer or retailer stating that the crib was built after June 28, 2011, or that the crib is certified if the crib was manufactured before that date.
- (3) The provider shall ensure that sleeping equipment does not block exits.
- (4) The provider shall ensure that sleeping equipment and bedding items are:
  - (a) clearly assigned to one child; and
  - (b) laundered as needed, but at least once a week, and before use by another child.
- (5) **Before each use** the provider shall clean and sanitize sleeping equipment that is not clearly assigned to and used by an individual.

#### **R430-50-23. Diapering.**

- (1) **If the provider accepts children who wear diapers,** the provider shall ensure that each child's diaper is:
  - (a) checked at least once every two hours;
  - (b) promptly changed if wet or soiled; and
  - (c) checked as soon as a sleeping child awakens.
- (2) The provider shall ensure that caregivers do not change children's diapers directly on the floor, in a food preparation or eating area, or on any surface used for another purpose.
- (3) The provider shall ensure that the diapering surface is smooth, waterproof, and in good repair.
- (4) The provider shall ensure that caregivers clean and sanitize the diapering surface after each diaper change, or use a disposable, waterproof diapering surface that is thrown away after each diaper change.
- (5) The provider shall ensure that caregivers who change diapers wash their hands after each diaper change.
- (6) The provider shall ensure that caregivers place wet and soiled disposable diapers:
  - (a) in a container that has a disposable plastic lining and a tight-fitting lid;
  - (b) directly in an outdoor garbage container that has a tight-fitting lid; or
  - (c) in a container that is inaccessible to children.
- (7) Each day, the provider shall clean and sanitize indoor containers where wet and soiled diapers are placed.

- (8) If cloth diapers are used, the provider shall:
  - (a) not rinse cloth diapers at the facility; and
  - (b) place cloth diapers directly into a leakproof container that is inaccessible to any child and labeled with the child's name, or place the cloth diapers in a leakproof diapering service container.

#### **R430-50-24. Infant and Toddler Care.**

- (1) The provider shall ensure that each awake infant and toddler receives positive physical and verbal interaction with a caregiver at least once every 20 minutes.
- (2) To stimulate their healthy development, the provider shall ensure that infants receive daily interactions with adults, including on the ground interaction and closely supervised time spent in the prone position for infants less than six months old.
- (3) The provider shall ensure that caregivers respond promptly to infants and toddlers who are in emotional distress due to conditions including hunger, fatigue, a wet or soiled diaper, fear, teething, or illness.
- (4) For their healthy development, the provider shall make safe toys available and accessible for each infant and toddler to engage in play.
- (5) The provider shall ensure that mobile infants and toddlers have freedom of movement in a safe area.
- (6) The provider may not confine an awake infant or toddler in any piece of equipment, including a swing, high chair, crib, playpen, or other similar piece of equipment for more than 30 minutes.
- (7) The provider shall ensure that only one infant or toddler occupies any one piece of equipment at a time, unless the equipment has individual seats for more than one child.
- (8) The provider shall make objects made of styrofoam inaccessible to infants and toddlers.
- (9) The provider shall allow each infant and toddler to eat and sleep on their own schedule.
- (10) The provider shall ensure that baby food, formula, or breast milk that is brought from home for an individual infant and toddlers use is:
  - (a) labeled with the child's name;
  - (b) labeled with the date and time of preparation or opening of the container, including a jar of baby food;
  - (c) refrigerated if needed; and
  - (d) discarded within 24 hours of preparation or opening, except for unprepared powdered formula or dry food.
- (11) If an infant cannot sit upright and hold their own bottle, the provider shall ensure that a caregiver is within arm's reach of each infant during bottle feeding and that bottles are not propped.
- (12) The provider shall ensure that the caregiver swirls and tests warm bottles for temperature before feeding to children.
- (13) The provider shall discard formula and milk, including breast milk, after feeding or within two hours of starting a feeding.
- (14) The provider shall ensure that caregivers cut solid foods for infants into pieces no larger than 1/4 inch in diameter, and cut solid foods for toddlers into pieces no larger than 1/2 inch in diameter.
- (15) The provider shall ensure that infants sleep in equipment designed for sleep

**including** a crib, bassinet, porta-crib or playpen, and that infants are not placed to sleep on a mat, cot, pillow, bouncer, swing, car seat, or other similar piece of equipment.

- (16) The provider shall place infants on their backs for sleeping unless there is documentation from a health care provider requiring a different sleep position.
- (17) The provider may not place soft toys, loose blankets, or other objects in sleep equipment while in use by sleeping infants.

**KEY: child care facilities, residential certification**

**Date of Last Change: 2023**

**Notice of Continuation: May 4, 2023**

**Authorizing, and Implemented or Interpreted Law: 26B-2-402**