

R381. Health, Child Care Center Licensing Committee.

R381-60. Hourly Child Care Centers.

R381-60-1. Legal Authority and Purpose.

- (1) This rule is enacted and enforced in accordance with Title 26, Chapter 39, Utah Child Care Licensing Act.
- (2) This rule establishes the foundational standards necessary to protect the health and safety of children in hourly child care centers and defines the general procedures and requirements to get and maintain a license to provide child care.

R381-60-2. Definitions.

- (1) "Applicant" means a person or business who has applied for a new or a renewal of a license from Child Care Licensing.
- (2) "Background Finding" means information in a background check that Child Care Licensing uses to determine if a covered individual is or is not eligible to be involved with child care.
- (3) "Barrier" means an enclosing structure such as a fence, wall, bars, railing, or solid panel to prevent accidental or deliberate movement through or access to something.
- (4) "Body Fluid" means blood, urine, feces, vomit, mucus, or saliva.
- (5) "Business Days and Hours" means the days of the week and times the facility is open for business.
- (6) "Caregiver" means a covered individual who protects the health and safety of children. A covered individual is a caregiver when they:
 - (a) count in the caregiver-to-child ratio;
 - (b) meet the physical or emotional needs of the children, including diapering, toileting, feeding, or protecting them from harm; or
 - (c) supervise children.
- (7) "Capacity" means the maximum number of children the provider is allowed to care for at any given time.
- (8) "Caregiver-to-Child Ratio" means the number of caregivers responsible for a specific number of children.
- (9) "CCL" means the Child Care Licensing Program that is delegated with the responsibility to enforce the Utah Child Care Licensing Act.
- (10) "Child Care" means continuous care and supervision of five or more qualifying children that is:
 - (a) in place of care ordinarily provided by a parent in the parent's home;
 - (b) for less than 24 hours a day; and
 - (c) for direct or indirect compensation.
- (11) "Child Care Center Licensing Committee" means the Child Care Center Licensing Committee created in the Utah Child Care Licensing Act.
- (12) "Child Care Program" means a person or business that offers child care.
- (13) "Choking Hazard" means an object or a removable part on an object with a diameter of less than 1-1/4 inches and a length of less than 2-1/4 inches that could be caught in a child's throat blocking their airway and making it difficult or impossible to breathe.
- (14) "Conditional Status" means that the provider is at risk of losing their child care license because compliance with licensing rules has not been maintained.
- (15) "Covered Individual" means any of the following individuals involved with a child care program:
 - (a) an owner;
 - (b) a director;
 - (c) a member of the governing body;
 - (d) an employee;
 - (e) a caregiver;
 - (f) a volunteer, except a parent of a child enrolled in the child care program;
 - (g) an individual age 12 years old or older who resides in the facility; and
 - (h) anyone who has unsupervised contact with a child in care.
- (16) "Crib" means an infant's bed with sides to protect them from falling including a bassinet, porta-crib, or play pen.
- (17) "Department" means the Utah Department of Health.
- (18) "Designated Play Surface" means any accessible elevated surface for standing, walking, crawling, sitting or climbing; or an accessible flat surface at least two by two inches in size and having an angle less than 30 degrees from horizontal.
- (19) "Director" means an individual who meets the director qualifications in this rule, and who assumes the child care program's day-to-day responsibilities for compliance with Child Care Licensing rules.
- (20) "Eligible" means that there were no findings in a covered individual's background check that could prohibit that covered individual from being involved with child care.
- (21) "Emotional Abuse" means behavior that could harm a child's emotional development, such as threatening, intimidating, humiliating, demeaning, criticizing, rejecting, using profane language, or using inappropriate physical restraint.
- (22) "Entrapment Hazard" means an opening greater than 3-1/2 by 6-1/4 inches and less than nine inches in diameter where a child's body could fit through but the child's head could not fit through, potentially causing a child's entrapment and strangulation.
- (23) "Facility" means a child care program or the premises approved by the department to be used for child care.
- (24) "Group" means the children who are assigned to and supervised by one or more caregivers.
- (25) "Group Size" means the total number of children in a group per room or area.

(26) "Guest" means an individual who is not a covered individual and is at the child care facility for a short time with the provider's permission.

(27) "Health Care Provider" means a licensed health professional, such as a physician, dentist, nurse practitioner, or physician's assistant.

(28) "Homeless" means anyone who lacks a fixed, regular, and adequate nighttime residence.

(29) "Inaccessible" means out of reach of children by being:

(a) locked, such as in a locked room, cupboard, or drawer;

(b) secured with a child safety device, such as a child safety cupboard lock or doorknob device;

(c) behind a properly secured child safety gate;

(d) located at least 36 inches above the floor; or

(e) if in a bathroom, at least 36 inches above any surface from where a child could stand or climb.

(30) "Infant" means a child who is younger than 12 months old.

(31) "Infectious Disease" means an illness that is capable of being spread from one individual to another.

(32) "Involved with Child Care" means to do any of the following at or for a child care program:

(a) care for or supervise children;

(b) volunteer;

(c) own, operate, direct;

(d) reside;

(e) count in the caregiver-to-child ratio; or

(f) have unsupervised contact with a child in care.

(33) "License" means a license issued by the department to provide child care services.

(34) "Licensee" means the legally responsible person or business that holds a valid license from Child Care Licensing.

(35) "LIS Supported Finding" means background check information from the Licensing Information System (LIS) database for child abuse and neglect, maintained by the Utah Department of Human Services.

(36) "Older Toddler" means a child age 18 through 23 months old.

(37) "Over-the-Counter Medication" means medication that can be bought without a written prescription including herbal remedies, vitamins, and mineral supplements.

(38) "Parent" means the parent or legal guardian of a child in care.

(39) "Person" means an individual or a business entity.

(40) "Physical Abuse" means causing nonaccidental physical harm to a child.

(41) "Play Equipment Platform" means a flat surface on a piece of stationary play equipment intended for more than one child to stand on, and upon which the children can move freely.

(42) "Preschooler" means a child age two through four years old.

(43) "Protective Barrier" means a structure such as bars, lattice, or a panel that is around an elevated platform and is intended to prevent accidental or deliberate movement through or access to something.

(44) "Protective Cushioning" means a shock-absorbing surface under and around play equipment that reduces the severity of injuries from falls.

(45) "Provider" means the legally responsible person or business that holds a valid license from Child Care Licensing.

(46) "Qualifying Child" means:

(a) a child who is younger than 13 years old and is the child of an individual other than the child care provider or caregiver;

(b) a child with a disability who is younger than 18 years old and is the child of an individual other than the provider or caregiver; or

(c) a child who is younger than four years old and is the child of the provider or a caregiver.

(47) "Related Child" means a child for whom a provider is the parent, legal guardian, step-parent, grandparent, step-grandparent, great-grandparent, sibling, step-sibling, aunt, step-aunt, great-aunt, uncle, step-uncle, or great-uncle.

(48) "Room" is defined by the department as follows:

If a large room is divided into smaller rooms or areas with barriers such as furniture or with half walls, the room or area is considered:

(a) One room, if the room is divided by a solid barrier that is less than 24 inches, whether the barrier is movable or immovable.

(b) One room, if the room is divided by a solid barrier that is between 24 and 40 inches in height and there is an opening in the barrier through which caregivers and children can move freely.

(c) Two rooms, if the room is divided by a solid barrier that is between 24 and 40 inches in height and there is no opening in the barrier through which caregivers and children can move freely, or there is an opening between the two sides but the opening is blocked such as with a child safety gate. This also applies to a diaper changing station that is located behind a closed gate.

(d) Two rooms, if the room is divided by a solid barrier that is over 40 inches in height and there is no opening in the barrier through which caregivers and children can move freely, or there is an opening between the two sides but the opening is blocked such as with a child safety gate. If there is an opening through which caregivers and children can move freely and the opening is not blocked, refer to the instructions for a large opening, archway, or doorway.

If two rooms or areas are connected by a large opening, archway, or doorway, the rooms or areas are considered:

(e) One room, if the width of the opening or archway is equal to or greater than the combined width of the walls on each side of the opening or archway, in the larger of the two rooms or areas, and there is no furniture or other dividers blocking the opening or archway. Otherwise the department shall consider this to be two rooms.

(f) Two rooms, if the width of the opening or archway is smaller than the combined width of the walls on each side of the opening or archway, in the larger of the two rooms or areas.

If in outdoor areas separated by interior fences, the department considers it:

(g) One area, if the interior fence is lower than 24 inches in height, whether or not the fence has an opening.

(h) One area, if the interior fence is 40 inches or lower in height with an opening through which caregivers and children can move freely.

(i) Two areas if the interior fence is higher than 24 inches and there is no opening.

(j) Two areas, if the interior fence is higher than 40 inches whether or not the fence has an opening.

(49) "Sanitize" means to use a product or process to reduce contaminants and bacteria to a safe level.

(50) "School-Age Child" means a child age five through 12 years old.

(51) "Sexual Abuse" means to take indecent liberties with a child with the intention to arouse or gratify the sexual desire of an individual or to cause pain or discomfort.

(52) "Sexually Explicit Material" means any depiction of actual or simulated sexually explicit conduct.

(53) "Sleeping Equipment" means a cot, mat, crib, bassinet, porta-crib, playpen, or bed.

(54) "Stationary Play Equipment" means equipment such as a climber, slide, swing, merry-go-round, or spring rocker that is meant to stay in one location when a child uses it. Stationary play equipment does not include:

(a) a sandbox;

(b) a stationary circular tricycle;

(c) a sensory table; or

(d) a playhouse that sits on the ground or floor and does not have an attached slide, swing, or climber.

(55) "Strangulation Hazard" means something on which a child's clothes or drawstrings could become caught, or something in which a child could become entangled such as:

(a) a protruding bolt end that extends more than two threads beyond the face of the nut;

(b) hardware that forms a hook or leaves a gap or space between components such as a protruding open S-hook; or

(c) a rope, cord, or chain that is attached to a structure and is long enough to encircle a child's neck.

(56) "Unsupervised Contact" means being with, caring for, communicating with, or touching a child in the absence of a caregiver or other employee who is at least 18 years old and has passed a Child Care Licensing background check.

(57) "Use Zone" means the area beneath and surrounding a play structure or piece of equipment that is designated for unrestricted movement around the equipment, and onto which a child falling from or exiting the equipment could be expected to land.

(58) "Volunteer" means an individual who receives no form of direct or indirect compensation for their service.

(59) "Working Days" means the days of the week the department is open for business.

(60) "Younger Toddler" means a child age 12 through 17 months old.

R381-60-3. License Required.

(1) A person shall be licensed as an hourly child care center if they provide care:

(a) in the absence of the child's parent;

(b) in a place other than the provider's home or the child's home;

(c) for five or more unrelated children;

(d) for four or more hours per day, and no child is cared for on a regular schedule;

(f) for each individual child for less than 24 hours a day;

(f) on an ongoing basis for four or more weeks in a year; and

(g) for direct or indirect compensation.

(2) A person who is not required to be licensed may voluntarily become licensed, except for care that is for related children only or on a sporadic basis.

(3) A provider may be licensed to provide child care in a facility that is also licensed to offer foster or respite care services, or another licensed or certified human services program if the part of the building requesting a CCL license is physically separated from the other building services.

R381-60-4. License Application, Renewal, Changes, and Variances.

(1) Each applicant for a new child care license shall:

(a) submit a CCL online application;

(b) submit a copy of a current local fire clearance or a written statement from the local fire authority that a fire inspection is not required;

(c) submit a copy of a current local health department kitchen clearance for a facility providing food service or a written statement from the local health department that a kitchen inspection is not required;

(d) submit a copy of a current local business license or a written statement from the city that a business license is not required;

(e) submit a copy of the educational credentials of the individual who will be the director as required in Section R381-60-7;

(f) complete CCL background checks for covered individuals as required in Section R381-60-8;

(g) complete CCL new provider training no more than six months before becoming licensed; and

- (h) pay any required fees, which are nonrefundable.
- (2) Each applicant shall pass a department's inspection of the facility before a new license or a renewal is issued.
- (3) If the local fire authority states in writing that an applicant for a new license or a renewal does not require a fire inspection, the department shall verify the applicant's compliance with the following:
 - (a) address numbers and letters are readable from the street;
 - (b) exit doors operate properly and are well maintained;
 - (c) there are no obstructions in exits, aisles, corridors, and stairways;
 - (d) exit doors are unlocked from the inside during business hours;
 - (e) exits are clearly identified;
 - (f) there is at least one unobstructed fire extinguisher on each level of the building, currently charged and serviced, and mounted not more than five feet above the floor;
 - (g) there are working smoke detectors that are properly installed on each level of the building; and
 - (h) boiler, mechanical, and electrical panel rooms are not used for storage.
- (4) If an applicant for a new license or a renewal serves food and the local health department states in writing that a kitchen inspection is not required, the department shall verify the applicant's compliance with the following:
 - (a) the refrigerator is clean, in good repair, and working at or below 41 degrees Fahrenheit;
 - (b) there is a working thermometer in the refrigerator;
 - (c) there is a working stem thermometer available to check cooking and hot hold temperatures;
 - (d) cooks have a current food handler's permit available on-site for review by the department;
 - (e) cooks use hair restraints and wear clean outer clothing;
 - (f) only necessary staff are present in the kitchen;
 - (g) reusable food holders, utensils, and food preparation surfaces are washed, rinsed, and sanitized before each use;
 - (h) chemicals are stored away from food and food service items;
 - (i) food is properly stored, kept to the proper temperature, and in good condition; and
 - (j) there is a working handwashing sink in the kitchen and handwashing instructions posted by the sink.
- (5) Each applicant shall have six months from the time any portion of the application is submitted to finish the licensing process. If unsuccessful, the applicant shall reapply. Any resubmission must include the required documentation, payment of licensing fees, and a new inspection of the facility to be licensed.
- (6) The department may deny an application for a license if, within the five years preceding the application date, the applicant held a license or a certificate that was:
 - (a) closed under an immediate closure;
 - (b) revoked;
 - (c) closed as a result of a settlement agreement resulting from a notice of intent to revoke, a notice of revocation, or a notice of immediate closure;
 - (d) voluntarily closed after an inspection of the facility found a rule violation that would have resulted in a notice of intent to revoke or a notice of revocation had the provider not closed voluntarily; or
 - (e) voluntarily closed having unpaid fees or civil money penalties issued by the department.
- (7) Each child care license expires at midnight on the last day of the month shown on the license, unless the license was previously revoked by the department, or voluntarily closed by the provider.
- (8) Within 30 to 90 days before a current license expires, each provider shall submit for renewal:
 - (a) an online renewal request;
 - (b) applicable renewal fees;
 - (c) any previous unpaid fees; and
 - (d) a copy of a current fire inspection report.
- (9) The department may grant a provider who fails to renew their license by the expiration date an additional 30 days to complete the renewal process if the provider pays a late fee.
- (10) The department may deny renewal of a license for a provider who is no longer caring for children.
- (11) Each provider shall submit a complete application for a new license at least 30 days before any of the following changes occur:
 - (a) a change of the child care facility's location; or
 - (b) a change that transfers 50% or more ownership or controlling interest to a new individual or entity.
- (12) A provider shall submit a complete online changes request to amend an existing license at least 30 days before any of the following changes:
 - (a) an increase or decrease of licensed capacity, including any change to the amount of usable indoor or outdoor space where child care is provided;
 - (b) a change in the name of the program;
 - (c) a change in the regulation type of the program;
 - (d) a change in the name of the provider;
 - (e) an addition or loss of a director; or
 - (f) a change in ownership that does not require a new license.
- (13) The department may amend a license after verifying that the applicant is in compliance with any applicable rules and required fees have been paid. The expiration date of the amended license remains the same as the previous license.

- (14) Only the department may assign, transfer, or amend a license.
- (15) If an applicant or provider cannot comply with a rule but can meet the intent of the rule in another way, the applicant or provider may apply for a variance to that rule by submitting a request to the department.
- (16) The department may:
 - (a) require additional information before acting on the variance request; and
 - (b) impose health and safety requirements as a condition of granting a variance.
- (17) Each provider shall comply with the existing rules until a variance is approved by the department.
- (18) If a variance is approved, the provider shall keep a copy of the written approval on-site for review by parents and the department.
- (19) The department may grant variances for up to 12 months.
- (20) The department may revoke a variance if:
 - (a) the provider is not meeting the intent of the rule as stated in their approved variance;
 - (b) the provider fails to comply with the conditions of the variance; or
 - (c) a change in statute, rule, or case law affects the basis for the variance.

R381-60-5. Rule Violations, Penalties, and Appeals.

- (1) The department may place a program's child care license on a conditional status for the following causes:
 - (a) chronic, ongoing noncompliance with rules;
 - (b) unpaid fees; or
 - (c) a serious rule violation that places children's health or safety in immediate jeopardy.
- (2) The department shall establish the length of the conditional status and set the conditions that the child care provider shall satisfy to remove the conditional status.
- (3) The department may increase monitoring of the program that is on conditional status to verify compliance with rules.
- (4) The department may deny or revoke a license if the child care provider:
 - (a) fails to meet the conditions of a license on conditional status;
 - (b) violates the Child Care Licensing Act;
 - (c) provides false or misleading information to the department;
 - (d) misrepresents information by intentionally altering a license or any other document issued by the department;
 - (e) fails to allow authorized representatives of the department access to the facility to ensure compliance with this rule;
 - (f) fails to submit or make available to the department any written documentation required to verify compliance with this rule;
 - (g) commits a serious rule violation that results in death or serious harm to a child, or that places a child at risk of death or serious harm; or
 - (h) has committed an illegal act that would exclude an individual from having a license.
- (5) Within ten working days of receipt of a revocation notice, the provider shall submit to the department the names and mailing addresses of the parents of each enrolled child so the department can notify the parents of the revocation.
- (6) The department may order the immediate closure of a facility if conditions create a clear and present danger to any child in care and may require immediate action to protect the children's health or safety.
- (7) Upon receipt of an immediate closure notice, the provider shall give the department the names and mailing addresses of the parents of each enrolled child so the department can notify the parents of the immediate closure.
- (8) If there is a severe injury or the death of a child in care, the department may order a child care provider to suspend services and prohibit new enrollments, pending a review by the Child Fatality Review Committee or a determination of the probable cause of death or injury by a medical professional.
- (9) If a person is providing care for more than four unrelated children without the appropriate license, the department may:
 - (a) issue a cease and desist order; or
 - (b) allow the person to continue operation if:
 - (i) the person was unaware of the need for a license;
 - (ii) conditions do not create a clear and present danger to the children in care; and
 - (iii) the person agrees to apply for the appropriate license within 30 calendar days of notification by the department.
- (10) If a person providing care without the appropriate license agrees to apply for a license but does not submit an application and the required application documents within 30 days, the department may issue a cease and desist order.
- (11) A violation of any rule is punishable by an administrative civil money penalty of up to \$5,000 a day as provided in Section 26-39-601.
- (12) The department may assess a civil money penalty and also take action to deny, place on conditional status, revoke, immediately close, or refuse to renew a license.
- (13) The department may deny an application or revoke a license for failure to pay any required fees, including fees for applications, late fees, returned checks, license changes, additional inspections, conditional monitoring inspections, background checks, civil money penalties, and other fees assessed by the department.
- (14) An applicant or provider may request a hearing to appeal any department decision within 15 working days of being informed in writing of the decision.

R381-60-6. Administration and Children's Records.

- (1) The provider shall:

- (a) be at least 21 years old;
 - (b) be considered eligible by a CCL background check before becoming involved with child care; and
 - (c) complete the new provider training offered by the department.
- (2) If the owner is not a sole proprietor, the business entity shall submit to the department the name and contact information of the individual or individuals who shall legally represent them and who shall comply with the requirements stated in Subsection R381-60-6(1).
- (3) The provider shall protect children from conduct that endangers children in care, or is contrary to the health, morals, welfare, and safety of the public.
 - (4) The provider shall know and comply with each applicable federal, state, and local law, ordinance, and rule, and shall be responsible for the operation and management of a child care program.
 - (5) The provider shall comply with licensing rules any time a child in care is present.
 - (6) The provider shall post their unaltered child care license on the facility premises in a place readily visible and accessible to the public.
 - (7) The provider shall post a current copy of the department's Parent Guide at the facility for parent review during business hours.
 - (8) The provider shall inform parents and the department of any changes to the program's telephone number and other contact information within 48 hours of the change.
 - (9) The provider shall:
 - (a) have liability insurance; or
 - (b) inform parents in writing that the provider does not have liability insurance.
 - (10) The provider shall ensure that a parent completes an admission and health assessment form for their child before the child is admitted into the child care program.
 - (11) The provider shall ensure that each child's admission and health assessment form includes the following information:
 - (a) child's name;
 - (b) child's date of birth;
 - (c) parent's name, address, and phone number, including a daytime phone number;
 - (d) names of individuals authorized by the parent to sign the child out from the facility;
 - (e) name, address, and phone number of an individual to be contacted if an emergency happens and the provider cannot contact the parent;
 - (f) any special health instructions for the caregiver; and
 - (g) certification that immunizations for the child are current.
 - (12) The provider shall ensure that the admission and health assessment form is:
 - (a) signed by the parent; and
 - (b) kept on-site for review by the department.
 - (13) The provider shall ensure that each child's information is kept confidential and not released without written parental permission except to the department.

R381-60-7. Personnel and Training Requirements.

- (1) The provider shall ensure that employees and volunteers are supervised, qualified, and trained to:
 - (a) meet the needs of the children as required by rule; and
 - (b) be in compliance with licensing requirements under Rule R381-60.
- (2) The provider shall ensure that the center has a qualified director as required by licensing rules.
- (3) The provider shall ensure that the director:
 - (a) is at least 21 years old;
 - (b) is considered eligible by a CCL background check before becoming involved with child care;
 - (c) receives at least 2-1/2 hours of preservice training before beginning job duties;
 - (d) completes the new director training offered by the department within 60 working days of assuming director duties;
 - (e) knows and follows any applicable laws and requirements under Rule R381-60; and
 - (f) completes at least 10 hours of child care training each year based on the facility's license date, or at least 45 minutes of child care training each month they work if hired partway through the facility's licensing year.
- (4) The provider shall ensure that each new director has one of the following educational credentials:
 - (a) any bachelor's or higher education degree, and at least 60 clock hours of approved Utah Early Childhood Career Ladder courses in child development, social and emotional development, and the child care environment; or 60 clock hours of equivalent training as approved by the department;
 - (b) at least 12 college credit hours of child development courses;
 - (c) a currently valid national certification such as a Certified Childcare Professional (CCP) issued by the National Child Care Association, a Child Development Associate (CDA) issued by the Council for Early Childhood Professional Recognition, or other equivalent credential as approved by the department;
 - (d) at least a Level 9 from the Utah Early Childhood Career Ladder system; or
 - (e) a National Administrator Credential (NAC) and at least 60 clock hours of approved Utah Early Childhood Career Ladder courses in child development, social and emotional development, and the child care environment; or 60 clock hours of equivalent training as approved by the department.

(5) The provider shall ensure that there is a director designee with authority to act on behalf of the director in the director's absence.

(6) The provider shall ensure that the director designee:

- (a) is at least 18 years old;
- (b) is considered eligible by a CCL background check before becoming involved with child care;
- (c) receives at least 2-1/2 hours of preservice training before beginning job duties;
- (d) knows and follows any applicable laws and requirements under Rule R381-60;
- (e) completes at least 10 hours of child care training each year based on the facility's license date, or at least 45 minutes of child care training each month they work if hired partway through the facility's licensing year; and
- (f) has current first aid and cardio pulmonary resuscitation (CPR).

(7) The provider shall ensure that the director or the director designee is present at the facility when the center is open for care.

(8) The provider shall have on-site for review by the department documentation of having employees who are on call and, when needed, can arrive at the facility within 20 minutes.

(9) The provider shall ensure that caregivers:

- (a) are at least 16 years old;
- (b) are considered eligible by a CCL background check before becoming involved with child care;
- (c) receive at least 2-1/2 hours of preservice training before caring for children;
- (d) know and follow any applicable laws and requirements under Rule R381-60;
- (e) complete at least 10 hours of child care training each year, based on the facility's license date, or at least 45 minutes of child care training each month they work if hired partway through the facility's licensing year.

(10) The provider shall ensure that any other staff such as drivers, cooks, and clerks:

- (a) are considered eligible by a CCL background check before becoming involved with child care;
- (b) receive at least 2-1/2 hours of preservice training before beginning job duties;
- (c) know and follow any applicable laws and requirements under Rule R381-60.

(11) The provider shall ensure that volunteers are considered eligible by a CCL background check before becoming involved with child care.

(12) The provider shall ensure that student interns who are registered and participating in a high school or college child care course and guests wear a guest nametag.

(14) The provider shall ensure that household members who are:

- (a) 12 to 17 years old are considered eligible by a CCL background check; and
- (b) 18 years old or older are considered eligible by a CCL background check that includes fingerprints.

(15) The provider shall ensure that individuals who provide Individualized Educational Plan (IEP) or Individualized Family Service plan (IFSP) services such as physical, occupational, or speech therapists:

- (a) provide proper identification before having access to the facility or to a child at the facility; and
- (b) have received the child's parent's permission for services to take place at the facility.

(16) The provider shall ensure that individuals from law enforcement, Child Protective Services, the department, and any similar entities provide proper identification before having access to the facility or to a child at the facility.

(17) The provider shall ensure that preservice training includes at least the following topics:

- (a) job description and duties;
- (b) current department rule Sections R381-60-7 through R381-60-24;
- (c) disaster preparedness, response, and recovery;
- (d) pediatric first aid and CPR;
- (e) children with special needs;
- (f) safe handling and disposal of hazardous materials;
- (g) prevention, signs, and symptoms of child abuse and neglect, including child sexual abuse, and legal reporting requirements;
- (h) principles of child growth and development, including brain development;
- (i) prevention of shaken baby syndrome and abusive head trauma, and coping with crying babies;
- (j) prevention of sudden infant death syndrome (SIDS) and the use of safe sleeping practices;
- (k) recognizing the signs of homelessness and available assistance;
- (l) a review of the information in each child's health assessment in the caregiver's assigned group, including allergies, food sensitivities, and other special needs; and

(m) an introduction and orientation to the children in care.

(18) The provider shall keep documentation of each individual's preservice training on-site for review by the department and shall ensure that documentation includes at least the following:

- (a) training topics;
- (b) date of the training; and
- (c) total hours or minutes of training.

(19) The provider shall ensure that annual child care training includes at least the following topics:

- (a) current department rule Sections R381-60-7 through R381-60-24;
- (b) disaster preparedness, response, and recovery;
- (c) pediatric first aid and CPR;

- (d) children with special needs;
 - (e) safe handling and disposal of hazardous materials;
 - (f) the prevention, signs, and symptoms of child abuse and neglect, including child sexual abuse, and legal reporting requirements;
 - (g) principles of child growth and development, including brain development;
 - (h) prevention of shaken baby syndrome and abusive head trauma, and coping with crying babies;
 - (i) prevention of SIDS and use of safe sleeping practices; and
 - (j) recognizing the signs of homelessness and available assistance.
- (20) The provider shall ensure that documentation of each individual's annual child care training is kept on-site for review by the department and includes the following:
- (a) training topic;
 - (b) date of the training;
 - (c) name of the individual or organization that presented the training; and
 - (d) total hours or minutes of training.
- (21) The provider shall ensure that at least one staff member with a current Red Cross, American Heart Association, or equivalent pediatric first aid and CPR certification is present when children are in care:
- (a) at the facility;
 - (b) in each vehicle transporting children; and
 - (c) at each offsite activity.
- (22) The provider shall ensure that CPR certification includes hands-on testing.
- (23) The provider shall ensure that the following records for each covered individual are kept on-site for review by the department:
- (a) the date of initial employment or association with the program;
 - (b) a current pediatric first aid and CPR certification, if required in this rule; and
 - (c) a six-week record of the times worked each day.

R381-60-8. Background Checks.

- (1) Before a new covered individual becomes involved with child care in the program, the provider shall use the CCL provider portal search to:
- (a) verify that the individual is eligible; and
 - (b) associate that individual with their facility if the covered individual appears in the search.
- (2) Before a new covered individual who does not appear in the CCL provider portal search becomes involved with child care in the program, the provider shall:
- (a) have the individual submit an online background check form and fingerprints for individuals age 18 years old and older;
 - (b) authorize the individual's background check through the CCL provider's portal;
 - (c) pay any required fees; and
 - (d) receive written notice from CCL that the individual is eligible.
- (3) To keep their background check eligibility current, the provider shall also ensure that a new background check form and fingerprints are submitted and authorized and fees are paid for any covered individual who has:
- (a) resided outside of Utah since their last background check was completed;
 - (b) not been associated with an active, CCL approved child care facility within the past 180 days; or
 - (c) has turned 18 years old and has not previously submitted fingerprints for a CCL background check. If the 18-year-old has previously submitted fingerprints for a CCL background check, only a new background check form will be required.
- (4) Within ten working days from when a child who resides in the facility turns 12 years old, the provider shall:
- (a) ensure that an online background check form is submitted;
 - (b) authorize the child's background check through the CCL provider's portal; and
 - (c) pay any required fees.
- (5) The provider shall ensure that fingerprints are prepared by a local law enforcement agency or an agency approved by local law enforcement.
- (6) If fingerprints are submitted electronically through live scan, the provider shall ensure that the agency taking the fingerprints is one that follows the department's guidelines.
- (7) The department may consider a covered individual not eligible for any of the following reasons:
- (a) LIS supported findings;
 - (b) the covered individual's name appears on the Utah or national sex offender registry;
 - (c) the covered individual refuses to consent to the criminal background check;
 - (d) the covered individual knowingly makes a false statement in connection with their background check;
 - (e) any felony convictions; or
 - (f) for any of the reasons listed under Subsection R381-60-8(8).
- (8) The department may also consider a covered individual not eligible for any of the following convictions regardless of severity:
- (a) child pornography;
 - (b) sexual enticing of a minor;

- (c) voyeurism;
 - (d) a sexual exploitation act;
 - (e) pornographic material or performance;
 - (f) any crime against an individual;
 - (g) providing dangerous weapons or fire arms to a minor; or
 - (h) driving under the influence (DUI) while a child is present in the vehicle.
- (9) The department shall consider a covered individual eligible if the only background finding is a conviction or plea of no contest to a nonviolent drug offense that occurred ten or more years before the CCL background check was conducted.
- (10) If the provider is considered not eligible by CCL, the department may suspend or deny their license until the reason for the background check finding is resolved.
- (11) If a covered individual is considered not eligible by CCL, including that the individual has been convicted, has pleaded no contest, or is currently subject to a plea in abeyance or diversion agreement for a felony or misdemeanor, the provider shall prohibit that individual from being employed by the child care program or residing at the facility until the reason for the background check finding is resolved.
- (12) If a covered individual is denied a license or employment based upon the criminal background check and disagrees with the information provided by the Department of Public Safety, the covered individual may appeal the information to the Department of Public Safety.
- (13) If a covered individual disagrees with a supported finding on the Department of Human Services LIS, the covered individual may appeal the finding to the Department of Human Services.
- (14) The provider and the covered individual shall notify the department within 48 hours of becoming aware of the covered individual's arrest warrant, felony or misdemeanor arrest, charge, conviction, or supported LIS finding. Failure to notify the department within 48 hours may result in disciplinary action, including revocation of the license.
- (15) The Executive Director of the department may overturn a CCL background check decision if the Executive Director determines that the nature of the background finding or mitigating circumstances do not pose a risk to children.

R381-60-9. Facility.

- (1) The provider shall ensure that there is at least 35 square feet of indoor space for each child in care, including the provider's and employees' children.
- (2) The department may include floor space used for furniture, fixtures, or equipment as indoor space per child if the furniture, fixture, or equipment is used:
- (a) by children;
 - (b) for the care of children; or
 - (c) to store materials for children.
- (3) The department may not include the following areas when measuring indoor space for children's use:
- (a) bathrooms;
 - (b) closets and staff lockers;
 - (c) hallways;
 - (d) lobbies and entryways;
 - (e) kitchens; and
 - (f) staff offices.
- (4) The department may limit the maximum allowed capacity for a child care facility based on local ordinances.
- (5) The provider shall ensure that the number of children in care at any given time does not exceed the capacity identified on the license.
- (6) The provider shall ensure that any building or play structure on the premises constructed before 1978 that has peeling, flaking, chalking, or failing paint is tested for lead. If lead-based paint is found, the provider shall contact their local health department within five working days and follow required procedures for remediation of the lead hazard.
- (7) The provider shall ensure that each room and indoor area that is used by children is ventilated by mechanical ventilation, or by windows that open and have screens.
- (8) The provider shall ensure that rooms and areas have adequate light intensity for the safety of the children and the type of activity being conducted.
- (9) The provider shall maintain the indoor temperature between 65 and 82 degrees Fahrenheit.
- (10) The provider shall ensure that there is a working telephone at the facility, in each vehicle while transporting children, and during offsite activities.
- (11) The provider shall ensure that there is at least one working handwashing sink used exclusively for handwashing that is accessible to the children.
- (12) The provider shall ensure that there is at least one working toilet and one working sink for each group of one to 25 children in the center who are two years old and older.
- (13) The provider shall ensure that there is a bathroom that provides privacy available for use by school-age children.
- (14) If there is an outdoor area used by the children in care, the provider shall ensure that the area:
- (a) is safely accessible to children;
 - (b) has at least 40 square feet of space for each child using the area at one time; and

(c) is enclosed within a fence, wall, or solid natural barrier that is at least four feet high and that has no gap five by five inches or greater in or under.

(15) The provider shall ensure that when outdoors:

- (a) children are in an enclosed area, except during offsite activities; and
- (b) there is shade available to protect the children from excessive sun and heat.

(16) If there is a swimming pool on the premises that is not emptied after each use, the provider shall:

- (a) meet applicable state and local laws and ordinances related to the operation of a swimming pool;
- (b) maintain the pool in a safe manner; and

(c) when not in use, cover the pool with a commercially-made safety enclosure that is installed according to the manufacturer's instructions, or enclose the pool within at least a four-foot-high fence or solid barrier that is kept locked and that separates the pool from any other areas on the premises.

(17) The provider shall maintain buildings and outdoor areas in good repair and safe condition including:

- (a) ceilings, walls, and floor coverings;
- (b) lighting, bathroom, and other fixtures;
- (c) draperies, blinds, and other window coverings;
- (d) indoor and outdoor play equipment;
- (e) furniture, toys, and materials accessible to the children; and
- (f) entrances, exits, steps, and walkways including keeping them free of ice, snow, and other hazards.

(18) The provider shall ensure that accessible raised decks or balconies that are five feet or higher, and open stairwells that are five feet or deeper have protective barriers that are at least three feet high.

(19) If the facility is subdivided, any part of the building is rented out, or any area of the facility is shared including the outdoor area, the department may inspect the entire facility and the provider shall ensure that covered individuals in the facility comply with the rules, except when the following conditions are met:

- (a) there is a separate entrance for the child care program;
- (b) there are no connecting interior doorways that can be used by unauthorized individuals; and
- (c) there is no shared access to the outdoor area used for child care.

R381-60-10. Ratios and Group Size.

(1) As listed in Table 1 for any group of children, the provider shall:

- (a) maintain at least the number of caregivers and not exceed the number of children in the caregiver-to-child ratio; and
- (b) not exceed the group sizes.

TABLE 1		
Caregiver-to-Child Ratios		
Number of caregivers	Number of Children	Limits for Mixed Ages
1	4 per group	No more than 4 children younger than 2 years old
1	12 per group	No children younger than 2 years old
1	8 per group	2 children younger than 2 years old
1	6 in the facility	3 children younger than 2 years old
2	24 per group	No children younger than 2 years old
2	16 per group	4 Children younger than 2 years old

(2) The provider shall ensure that there are at least two caregivers with a mixed-age group if:

- (a) there are more than six children in the facility;
- (b) there are more than two infants or toddlers included in the mixed-age group; and
- (c) the group has more than six children total.

(3) When caring for children younger than two years old, the provider shall ensure that:

- (a) there are no more than four children with one caregiver; and
- (b) children are cared for in an area that is physically separated from older children.

(4) If there is only one caregiver in the facility and no children younger than two years old are present, the provider can be temporarily out of ratio if:

- (a) a second caregiver arrives within 20 minutes from when the 13th child arrived, and
- (b) the total number of children present does not exceed 16.

(5) The provider shall include the provider's and employees' children age four years old or older in care:

- (a) in the group size when the parent of the child is working at the facility; and
- (b) in the group size and the caregiver-to-child ratio when the parent of the child is not working at the facility.
- (6) The provider may include caregivers, student interns who are registered in a high school or college child care course, and volunteers who are 16 or 17 years old in the caregiver-to-child ratio.
- (7) The provider shall ensure that guests do not count in caregiver-to-child ratios.

R381-60-11. Child Supervision and Security.

- (1) The provider shall ensure that caregivers provide and maintain active supervision of each child, including:
 - (a) for children younger than five years old, a caregiver is physically present in the room or area with the children;
 - (b) for school-age children, a caregiver can hear the children and is close enough to intervene;
 - (c) caregivers know the number of children in their care at any time;
 - (d) caregivers' attention is focused on the children and not on caregivers' personal interests;
 - (e) caregivers are aware of the entire group of children even when interacting with a smaller group or an individual child; and
 - (f) caregivers position themselves so each child in their assigned group is actively supervised.
- (2) The provider shall ensure that staff and household members who are 16 or 17 years old only have unsupervised contact with any child in care, including during offsite activities and transportation when:
 - (a) they are left unsupervised for no more than two consecutive hours per group;
 - (b) the director or the director designee is physically present and available as needed; and
 - (c) they are not volunteers.
- (3) The provider shall ensure that staff, volunteers, and household members who are younger than 16 years old are not assigned to care for or supervise any child in care.
- (4) The provider shall ensure that student interns who are registered and participating in a high school or college child care course and guests do not have unsupervised contact with any child in care, including during offsite activities and transportation.
- (5) The provider shall ensure that parents of children in care do not have unsupervised contact with any child in care, except with their own children.
- (6) The provider shall ensure that when video cameras or mirrors are used to supervise napping children:
 - (a) the napping room is adjacent to a non-napping room;
 - (b) there is a staff member in the non-napping room;
 - (c) cameras or mirrors are positioned so that the staff member can see and hear each child;
 - (d) there is an open door without a barrier, such as a gate, between the napping room and the non-napping room; and
 - (e) the staff member moves children who wake up to the non-napping room.
- (7) The provider shall ensure that a blanket or other item is not placed over sleeping equipment in a way that prevents the caregiver from seeing the sleeping child.
- (8) The provider shall ensure that parents have access to their child and the areas used to care for their child when their child is in care.
- (9) To maintain security and supervision of children, the provider shall ensure that:
 - (a) each child is signed in and out;
 - (b) only parents or individuals with written authorization from the parent may sign-out a child;
 - (c) photo identification is required if the individual signing the child out is unknown to the provider;
 - (d) individuals signing children in and out use identifiers, such as a signature, initials, or electronic code;
 - (e) the sign-in and sign-out records include the date and time each child arrives and leaves; and
 - (f) there is written permission from the child's parent if school-age children sign themselves in or out.
- (10) In an emergency, the provider shall accept the parent's verbal authorization to release a child if the provider can confirm the identity of:
 - (a) the individual giving verbal authorization; and
 - (b) the individual picking up the child.
- (11) The provider shall ensure that a six-week record of each child's daily attendance, including sign-in and sign-out records, is kept on-site for review by the department.

R381-60-12. Child Guidance and Interaction.

- (1) The provider shall ensure that no child is subjected to physical, emotional, or sexual abuse while in care.
- (2) The provider shall inform parents, children, and those who interact with the children of the center's behavioral expectations and how any misbehavior will be handled.
- (3) The provider shall ensure that individuals who interact with the children guide children's behavior by using positive reinforcement, redirection, and by setting clear limits that promote children's ability to become self-disciplined.
- (4) The provider shall ensure that caregivers use gentle, passive restraint with children only when it is needed to protect children from injuring themselves or others, or to stop them from destroying property.
- (5) The provider shall ensure that interactions with the children do not include:
 - (a) any form of corporal punishment or any action that produces physical pain or discomfort such as hitting, spanking, shaking, biting, or pinching;
 - (b) restraining a child's movement by binding, tying, or any other form of restraint that exceeds gentle, passive restraint;
 - (c) shouting at children;

- (d) any form of emotional abuse;
 - (e) forcing or withholding food, rest, or toileting; or
 - (f) confining a child in a closet, locked room, or other enclosure such as a box, cupboard, or cage.
- (6) Any individual who witnesses or suspects that a child has been subjected to abuse, neglect, or exploitation shall immediately notify Child Protective Services or law enforcement as required in state law.

R381-60-13. Child Safety and Injury Prevention.

- (1) The provider shall ensure that the building, outdoor area, toys, and equipment are used in a safe manner and as intended by the manufacturer to prevent injury to children.
- (2) The provider shall ensure that poisonous and harmful plants are inaccessible to children.
- (3) The provider shall ensure that sharp objects, edges, corners, or points that could cut or puncture skin are inaccessible to children.
- (4) The provider shall ensure that choking hazards are inaccessible to children younger than three years old.
- (5) The provider shall ensure that strangulation hazards such as ropes, cords, chains, and wires attached to a structure and long enough to encircle a child's neck are inaccessible to children.
- (6) The provider shall ensure that tripping hazards such as unsecured flooring, rugs with curled edges, or cords in walkways are inaccessible to children.
- (7) The provider shall ensure that empty plastic bags large enough for a child's head to fit inside, latex gloves, and balloons are inaccessible to children younger than five years old.
- (8) The provider shall ensure that standing water that measures two inches or deeper and five by five inches or greater in diameter is inaccessible to children.
- (9) The provider shall ensure that toxic or hazardous chemicals such as cleaners, insecticides, lawn products, and flammable, corrosive, and reactive materials are:
 - (a) inaccessible to children;
 - (b) used according to manufacturer instructions;
 - (c) stored in containers labeled with the contents of the container; and
 - (d) disposed of properly.
- (10) The provider shall ensure that the following items are inaccessible to children:
 - (a) matches or cigarette lighters;
 - (b) open flames;
 - (c) hot wax or other hot substances; and
 - (d) when in use, portable space heaters, wood burning stoves, and fireplaces.
- (11) The provider shall ensure that the following items are inaccessible to children:
 - (a) live electrical wires; and
 - (b) for children younger than five years old, electrical outlets and surge protectors without protective caps or safety devices when not in use.
- (12) Unless used and stored in compliance with the Utah Concealed Weapons Act or as otherwise allowed by law, the provider shall ensure that firearms such as guns, muzzleloaders, rifles, shotguns, hand guns, pistols, and automatic guns are:
 - (a) locked in a cabinet or area using a key, combination lock, or fingerprint lock; and
 - (b) stored unloaded and separate from ammunition.
- (13) The provider shall ensure that weapons such as paintball guns, BB guns, airsoft guns, sling shots, arrows, and mace are inaccessible to children.
- (14) The provider shall ensure that alcohol, illegal substances, and sexually explicit material are inaccessible, and not used on the premises, during offsite activities, or in center vehicles any time a child is in care.
- (15) The provider shall ensure that an outdoor source of drinking water, such as individually labeled water bottles, a pitcher of water and individual cups, or a working water fountain is available to each child when the outside temperature is 75 degrees or higher.
- (16) The provider shall ensure that areas accessible to children are free of heavy or unstable objects that children could pull down on themselves, such as furniture, unsecured televisions, and standing ladders.
- (17) The provider shall ensure that hot water accessible to children does not exceed 120 degrees Fahrenheit.
- (18) The provider shall ensure that highchairs that are used by children have T-shaped safety straps or safety devices that are used when a child is in the chair.
- (19) The provider shall ensure that infant walkers with wheels are inaccessible to children.
- (20) The provider shall ensure that tobacco, e-cigarettes, e-juice, e-liquids, and similar products are inaccessible and, in compliance with the Utah Indoor Clean Air Act, not used:
 - (a) in the facility or any other building when a child is in care;
 - (b) in any vehicle that is being used to transport a child in care;
 - (c) within 25 feet of any entrance to the facility or other building occupied by a child in care; or
 - (d) in any outdoor area or within 25 feet of any outdoor area occupied by a child in care.

R381-60-14. Emergency Preparedness, Response, and Recovery.

- (1) The provider shall have a written emergency preparedness, response, and recovery plan that:

(a) includes procedures for evacuation, relocation, shelter in place, lockdown, communication with and reunification of families, and continuity of operations;

(b) includes procedures for accommodations for infants and toddlers, children with disabilities, and children with chronic medical conditions;

(c) is available for review by parents, staff, and the department during business hours; and

(d) is followed if an emergency happens, unless otherwise instructed by emergency personnel.

(2) The provider shall post the center's street address and emergency numbers, including at least fire, police, and poison control, near each telephone in the center or in an area clearly visible to anyone needing the information.

(3) The provider shall keep first aid supplies in the center, including at least antiseptic, bandages, and tweezers.

(4) The provider shall conduct fire evacuation drills monthly and make sure drills include a complete exit of each child, staff, and volunteers from the building.

(5) The provider shall document each fire drill, including:

(a) the date and time of the drill;

(b) the number of children participating;

(c) the name of the individual supervising the drill;

(d) the total time to complete the evacuation; and

(e) any problems encountered and remediation.

(6) The provider shall conduct drills for disasters other than fires at least once every six months.

(7) The provider shall document each disaster drill, including:

(a) the type of disaster, such as earthquake, flood, prolonged power or water outage, or tornado;

(b) the date and time of the drill;

(c) the number of children participating;

(d) the name of the individual supervising the drill; and

(e) any problems encountered and remediation.

(8) The provider shall vary the days and times on which fire and other disaster drills are held.

(9) The provider shall keep documentation of the previous 12 months of fire and disaster drills on-site for review by the department.

(10) The provider shall:

(a) give parents a written report on the day of occurrence of each incident, accident, or injury involving their child;

(b) ensure the report has the signatures of the caregivers involved, the center director or director designee, and the individual picking up the child; and

(c) if school-age children sign themselves out of the center, send a copy of the report to the parent on the day following the occurrence.

(11) If a child is injured and the injury appears serious but not life-threatening, the provider shall contact the child's parent immediately.

(12) If a life-threatening injury to a child, or an injury that poses a threat of the loss of vision, hearing, or a limb happens, the provider shall:

(a) call emergency personnel immediately;

(b) contact the parent after emergency personnel are called; and

(c) if the parent cannot be reached, try to contact the child's emergency contact individual.

(13) If a child is injured while in care and receives medical attention, or for a child fatality, the provider shall:

(a) submit a completed accident report form to the department within the next business day of the incident; or

(b) contact the department within the next business day and submit a completed accident report form within five business days of the incident.

(14) The provider shall keep a six-week record of each incident, accident, and injury report on-site for review by the department.

R381-60-15. Health and Infection Control.

(1) The provider shall keep the building, furnishings, equipment, and outdoor area clean and sanitary including:

(a) walls and flooring clean and free of spills, dirt, and grime;

(b) areas and equipment used for the storage, preparation, and service of food clean and sanitary;

(c) surfaces free of rotting food or a build-up of food;

(d) the building and grounds free of a build-up of litter, trash, and garbage;

(e) frequently touched surfaces, including doorknobs and light switches, cleaned and sanitized; and

(f) the facility free of animal feces.

(2) The provider shall take safe and effective measures to prevent and eliminate the presence of insects, rodents, and other pests.

(3) The provider shall clean and sanitize any toys and materials used by children:

(a) at least once a week or more often if needed;

(b) after being put in a child's mouth and before another child plays with the toy; and

(c) after being contaminated by a body fluid.

(4) The provider shall ensure that fabric toys and items such as stuffed animals, cloth dolls, pillow covers, and dress-up clothes are machine washable and if used, washed at least each week or as needed.

(5) The provider shall clean and sanitize highchair trays before each use.

(6) The provider shall clean and sanitize water play tables or tubs daily if used by the children.

(7) The provider shall clean and sanitize bathroom surfaces including toilets, sinks, faucets, toilet and sink handles, and counters each day the facility is open for business.

(8) The provider shall clean and sanitize potty chairs after each use.

(9) The provider shall keep toilet paper in a dispenser that is accessible to children.

(10) The provider shall post handwashing procedures that are readily visible from each handwashing sink and shall ensure that the procedures are followed.

(11) The provider shall ensure that staff and volunteers wash their hands thoroughly with liquid soap and running water:

(a) upon arrival;

(b) before handling or preparing food or bottles;

(c) before and after eating meals and snacks or feeding a child;

(d) after using the toilet or helping a child use the toilet;

(e) after contact with a body fluid;

(f) when coming in from outdoors; and

(g) after cleaning up or taking out garbage.

(12) The provider shall ensure that caregivers teach children how to wash their hands thoroughly and oversee handwashing when possible.

(13) The provider shall ensure that children wash their hands thoroughly with liquid soap and running water:

(a) upon arrival;

(b) before and after eating meals and snacks;

(c) after using the toilet;

(d) after contact with a body fluid;

(e) before using a water play table or tub; and

(f) when coming in from outdoors.

(14) The provider shall ensure that only single-use towels from a covered dispenser or an electric hand dryer is used to dry hands.

(15) The provider shall store personal hygiene items, such as toothbrushes, combs, and hair accessories separate, so they do not touch each other, and ensure they are not shared or they are sanitized between each use.

(16) The provider shall ensure that pacifiers, bottles, and nondisposable drinking cups are:

(a) labeled with each child's name or individually identified; and

(b) not shared, or washed and sanitized before being used by another child.

(17) The provider shall ensure that a child's clothing is promptly changed if the child has a toileting accident.

(18) The provider shall ensure that children's clothing that is wet or soiled from a body fluid is:

(a) not rinsed or washed at the center;

(b) placed in a leakproof container that is labeled with the child's name; and

(c) returned to the parent, or thrown away with parental consent.

(19) The provider shall take precautions when cleaning floors, furniture, and other surfaces contaminated by blood, urine, feces, or vomit, and ensure that, except for diaper changes and toileting accidents, staff cleaning these bodily fluids:

(a) wear waterproof gloves;

(b) clean the surface using a detergent solution;

(c) rinse the surface with clean water;

(d) sanitize the surface;

(e) throw away in a leakproof plastic bag the disposable materials, such as paper towels, that were used to clean up the body fluid;

(f) wash and sanitize any nondisposable materials used to clean up the body fluid, such as cleaning cloths, mops, or reusable rubber gloves, before reusing them; and

(g) wash their hands after cleaning up the body fluid.

(20) The provider may not care for a child who is ill with an infectious disease at the center except when the child shows signs of illness after arriving at the center.

(21) If a child becomes ill while in care:

(a) the provider shall contact the child's parent or, if the parent cannot be reached, an individual listed as the emergency contact to immediately pick up the child; and

(b) if the child is ill with an infectious disease, the provider shall make the child comfortable in a safe, supervised area that is separated from the other children until the parent arrives.

(22) If any child or employee has an infectious disease, an unusual or serious illness, or a sudden onset of an illness, the provider shall notify the local health department on the day the illness is discovered.

(23) If any staff member or child has an infectious disease or parasite, the provider shall post a notice at the center that:

(a) does not disclose any personal identifiable information;

(b) is posted in a conspicuous place where it can be seen by parents;

- (c) is posted and dated on the same day that the disease or parasite is discovered; and
- (d) remains posted for at least five business days.
- (24) To prevent contamination of food, the spread of foodborne illnesses, and other diseases, the provider shall ensure that:
 - (a) individuals who prepare food in the kitchen do not change diapers or help in toileting children;
 - (b) caregivers who care for diapered children only prepare food for the children in their care, and they do not prepare food outside of the room used by the diapered children or prepare food for other children and adults in the facility; and
 - (c) individuals with an infectious disease or showing symptoms such as diarrhea, fever, coughing, or vomiting do not prepare or serve foods.

R381-60-16. Food and Nutrition.

If food service is provided:

- (1) The provider shall offer a meal or snack to each child age two years old and older at least once every three hours.
- (2) If food for children's meals or snacks is supplied by the provider, the provider shall ensure that the meal service meets local health department food service regulations.
- (3) The provider shall ensure that the individual who serves food to children:
 - (a) is aware of the children in their assigned group who have food allergies or sensitivities; and
 - (b) ensures that the children are not served the food or drink they are allergic or sensitive to.
- (4) The provider may not place children's food on a bare table, and shall serve children's food on dishes, napkins, or sanitary highchair trays, except an individual finger food such as a cracker, which may be placed directly in a child's hand.
- (5) If parents bring food and drink for their child's use, the provider shall ensure that the food is:
 - (a) labeled with the child's name;
 - (b) refrigerated if needed; and
 - (c) consumed only by that child.

R381-60-17. Medications.

- (1) The provider shall lock nonrefrigerated medications or store them at least 48 inches above the floor.
- (2) The provider shall lock refrigerated medications or store them at least 36 inches above the floor and, if liquid, store them in a separate leakproof container.
- (3) If parents supply any over-the-counter or prescription medications, the provider shall ensure those medications are:
 - (a) labeled with the child's full name;
 - (b) kept in the original or pharmacy container;
 - (c) have the original label; and
 - (d) have child safety caps.
- (4) The provider shall have a written medication permission form completed and signed by the parent before administering any medication supplied by the parent for their child.
- (5) The provider shall ensure that the medication permission form includes at least:
 - (a) the name of the child;
 - (b) the name of the medication;
 - (c) written instructions for administration; and
 - (d) the parent signature and the date signed.
- (6) The provider shall ensure that instructions for administering the medication include at least:
 - (a) the dosage;
 - (b) how the medication will be given;
 - (c) the times and dates to administer the medication; and
 - (d) the disease or condition being treated.
- (7) If the provider supplies an over-the-counter medication for children's use, the provider shall ensure that the medication is not administered to any child without previous parental consent for each instance it is given. The provider shall ensure that the consent is:
 - (a) written; or
 - (b) verbal, if the date and time of the consent is documented and signed by the parent upon picking up their child.
- (8) The provider shall ensure that the staff administering the medication:
 - (a) washes their hands;
 - (b) check the medication label to confirm the child's name if the parent supplied the medication;
 - (c) checks the medication label or the package to ensure that a child is not given a dosage larger than that recommended by the health care professional or manufacturer; and
 - (d) administers the medication.
- (9) The provider shall ensure that immediately after administering a medication, the staff giving the medication records the following information:
 - (a) the date, time, and dosage of the medication given;
 - (b) any error in administering the medication or adverse reactions; and
 - (c) their signature or initials.

(10) The provider shall report to the parent a child's adverse reaction to a medication or error in administration of the medication immediately upon recognizing the reaction or error, or after notifying emergency personnel if the reaction is life-threatening.

(11) The provider shall notify the parent before the time a medication needs to be given to a child if the provider chooses not to administer medication as instructed by the parent.

(12) The provider shall keep a six-week record of medication permission and administration forms on-site for review by the department.

R381-60-18. Activities.

(1) The provider shall offer daily activities that support each child's healthy physical, social, emotional, cognitive, and language development.

(2) If an approved outdoor area is available, the provider shall ensure that daily activities include outdoor play as weather and air quality allow.

(3) The provider shall ensure that physical development activities include light, moderate, and vigorous physical activity for a daily total of at least 15 minutes for every two hours children spend in the program.

(4) The provider shall ensure that toys, materials, and equipment needed to support children's healthy development are available to the children.

(5) Except for occasional special events, the provider shall ensure that the children's primary screen time activity on media such as television, cell phones, tablets, and computers is:

(a) not allowed for children zero to 17 months old;

(b) limited for children 18 months to four years old to one hour a day, or five hours a week with a maximum screen time of two hours per activity; and

(c) planned to address the needs of children five to 12 years old.

(6) If swimming activities are offered or if wading pools are used, the provider shall ensure that:

(a) the parent gives permission before their child in care uses the pool;

(b) caregivers stay at the pool supervising when a child is in the pool or has access to the pool, and when an accessible pool has water in it;

(c) diapered children wear swim diapers when they are in the pool;

(d) wading pools are emptied and sanitized after use by each group of children;

(e) if the pool is over four feet deep, there is a lifeguard on duty who is certified by the Red Cross or other approved certification program any time children have access to the pool; and

(f) lifeguards and pool personnel do not count toward the caregiver-to-child ratio.

(7) If offsite activities are offered, the provider shall ensure that:

(a) the parent gives written consent before each activity;

(b) the required caregiver-to-child ratio and supervision are maintained during the entire activity;

(c) first aid supplies, including at least antiseptic, bandages, and tweezers are available;

(d) children wear or carry with them the name and phone number of the center;

(e) children's names are not used on nametags, t-shirts, or in other visible ways; and

(f) there is a way for caregivers and children to wash their hands with soap and water, or with wet wipes and hand sanitizer if there is no source of running water.

(8) The provider shall ensure a caregiver with the children takes the written emergency information and releases for each child in the group on each offsite activity, and that the information includes at least:

(a) the child's name;

(b) the parent's name and phone number;

(c) the name and phone number of an individual to notify if an emergency happens and the parent cannot be contacted;

(d) the names of people authorized by the parents to pick up the child; and

(e) current emergency medical treatment and emergency medical transportation releases.

R381-60-19. Play Equipment.

(1) The provider shall ensure that children using play equipment use it safely and in the manner intended by the manufacturer.

(2) The provider shall ensure that the highest designated play surface on stationary play equipment used by infants or toddlers does not exceed three feet in height.

(3) The provider shall ensure that swings used by infants or toddlers have enclosed seats.

(4) The provider shall ensure that stationary play equipment has a surrounding use zone that extends from the outermost edge of the equipment and that, with the exception of swings, stationary play equipment that is:

(a) used by infants or toddlers has at least a three-foot use zone if any designated play surface is higher than 18 inches;

(b) used by preschoolers has at least a six-foot use zone if any designated play surface is higher than 20 inches; and

(c) used by school-age children has at least a six-foot use zone if any designated play surface is higher than 30 inches.

(5) The provider shall ensure that the use zone in the front and rear of a single-axis, enclosed swing extends at least twice the distance of the swing pivot point to the swing seat.

(6) The provider shall ensure that the use zone in the front and rear of a single-axis swing extends at least twice the distance of the swing pivot point to the ground.

(7) The provider shall ensure that the use zone for a multi-axis swing, such as a tire swing, extends:

- (a) at least the measurement of the suspending rope or chain plus three feet, if the swing is used by infants or toddlers; or
 - (b) at least the measurement of the suspending rope or chain plus six feet, if the swing is used by preschoolers or school-age children.
- (8) The provider shall ensure that the use zone for a merry-go-round extends at least six feet in any direction from its outermost edge.
- (9) The provider shall ensure that the use zone for a spring rocker extends:
- (a) at least three feet from the outermost edge of the rocker when at rest; or
 - (b) at least six feet from the outermost edge of the rocker when at rest if the seat is higher than 20 inches, and the rocker is used by preschoolers or school-age children.
- (10) The provider shall ensure that the following use zones do not overlap the use zone of any other piece of play equipment:
- (a) the use zone in front of a slide;
 - (b) the use zone in the front and rear of any single-axis swing, including a single-axis enclosed swing;
 - (c) the use zone of a multi-axis swing; and
 - (d) the use zone of a merry-go-round if the platform diameter measures 20 inches or more.
- (11) Unless prohibited in Subsection R381-60-19(10), the provider shall ensure that the use zones of play equipment only overlap when:
- (a) the equipment is used by infants or toddlers, and there is at least three feet between the pieces of equipment; or
 - (b) the equipment is used by preschoolers or school-age children and there is at least six feet between the pieces of equipment if the designated play surface is 30 inches or lower, or there is at least nine feet between the pieces of equipment if the designated play surface is higher than 30 inches.
- (12) The provider shall ensure that, when in use, stationary play equipment is not placed on a hard surface such as concrete, asphalt, dirt, or the bare floor.
- (13) The provider shall ensure that protective cushioning covers the entire surface of each required use zone and that its depth or thickness is determined by the highest designated play surface of the equipment.
- (14) If sand, gravel, or shredded tires are used as protective cushioning, the provider shall:
- (a) ensure that the cushioning is periodically checked for compaction and loosened to the depth listed in Table 2 if compacted;
 - (b) if the material cannot be loosened due to extreme weather conditions, not allow children to play on the equipment until the material can be loosened to the required depth; and
 - (c) ensure that the depth of the material meets the guidelines in Table 2.

Highest Designated Play Surface, Climbing Bar, or Swing Pivot Point	Fine sand	Coarse Sand	Fine Gravel	Medium Gravel	Shredded Tires and Rubber Products
Up to 5' high	6"	6"	6"	6"	6"
Over 5' up to 6'	6"	9"	6"	9"	6"
Over 6' up to 9'	9"	Not allowed	9"	Not allowed	6"
Over 9' up to 10'	Not allowed	Not allowed	9"	Not allowed	6"
Over 10' up to 12'	Not allowed	Not allowed	Not allowed	Not allowed	6"

- (15) If shredded wood products are used as protective cushioning, the provider shall:
- (a) keep on-site for review by the department documentation from the manufacturer that the wood product is protective cushioning;
 - (b) ensure there is adequate drainage under the material; and
 - (c) ensure the depth of the shredded wood meets the guidelines in Table 3.

Highest Designated Play Surface,	Engineered Wood fibers	Wood Chips	Double Shredded Bark Mulch

Climbing Bar, or Swing Pivot Point			
Up to 6' high	6"	6"	6"
Over 6' up to 7'	9"	6"	9"
Over 7' up to 11'	9"	9"	9"
Over 11'	9"	Not allowed	Not allowed

(16) If a unitary cushioning is used, the provider shall maintain on-site for review by the department documentation from the manufacturer that the material is cushioning for playgrounds.

(17) If a unitary cushioning is used, the provider shall ensure that the cushioning material is securely installed, so that it cannot become displaced when children jump, run, walk, land, or move on it, or be moved by children picking it up.

(18) The provider shall ensure that a play equipment platform that is more than:

(a) 18 inches above the floor or ground and used by infants or toddlers has a protective barrier that is at least 24 inches high;

(b) 30 inches above the floor or ground and used by preschoolers has a protective barrier that is at least 29 inches high; and

(c) 48 inches above the floor or ground and used by school-age children has a protective barrier that is at least 38 inches high.

(19) The provider shall ensure that there is no gap greater than 3-1/2 inches in or under a required protective barrier on a play equipment platform.

(20) The provider shall ensure that stationary play equipment is stable or securely anchored.

(21) The provider shall ensure that there are no trampolines on the premises that are accessible to any child in care.

(22) The provider shall ensure that there are no entrapment hazards on or within the use zone of any piece of stationary play equipment.

(23) The provider shall ensure that there are no strangulation hazards on or within the use zone of any piece of stationary play equipment.

(24) The provider shall ensure that there are no crush, shearing, or sharp edge hazards on or within the use zone of any piece of stationary play equipment.

(25) The provider shall ensure that there are no tripping hazards such as concrete footings, tree stumps, tree roots, or rocks within the use zone of any piece of stationary play equipment.

R381-60-20. Transportation.

If transportation services are offered:

(1) For each child being transported, the provider shall have a transportation permission form:

(a) signed by the parent; and

(b) on-site for review by the department.

(2) The provider shall ensure that each vehicle used for transporting children:

(a) is enclosed with a roof or top;

(b) is equipped with safety restraints;

(c) has a current vehicle registration;

(d) is maintained in a safe and clean condition; and

(e) contains first aid supplies, including at least antiseptic, band-aids, and tweezers.

(3) The provider shall ensure that the safety restraints in each vehicle that transports children are:

(a) appropriate for the age and size of each child who is transported, as required by Utah law;

(b) properly installed; and

(c) in safe condition and working order.

(4) The provider shall ensure that the driver of each vehicle who is transporting children:

(a) is at least 18 years old;

(b) has and carries with them a current, valid driver's license for the type of vehicle being driven;

(c) has with them the written emergency contact information for each child being transported;

(d) ensures that each child being transported is in an individual safety restraint that is used according to Utah law;

(e) ensures that the inside vehicle temperature is between 60-85 degrees Fahrenheit;

(f) never leaves a child in the vehicle unattended by an adult;

(g) ensures that children stay seated while the vehicle is moving;

(h) never leaves the keys in the ignition when not in the driver's seat; and

(i) ensures that the vehicle is locked during transport.

(5) If the provider walks or uses public transportation to transport children to or from the facility, the provider shall ensure that:

(a) each child being transported has a completed transportation permission form signed by their parent;

(b) a caregiver goes with the children and actively supervises the children;

(c) the caregiver-to-child ratio is maintained; and

(d) a caregiver with the children has written emergency contact information and releases for the children being transported.

R381-60-21. Animals.

- (1) The provider shall inform parents of the kinds of animals allowed at the facility.
- (2) The provider shall ensure that there is no animal on the premises that:
 - (a) is naturally aggressive;
 - (b) has a history of dangerous, attacking, or aggressive behavior; or
 - (c) has a history of biting even one individual.
- (3) The provider shall ensure that animals at the facility are clean and free of obvious disease or health problems that could adversely affect children.
- (4) The provider shall ensure that there is no animal or animal equipment in food preparation or eating areas.
- (5) The provider shall ensure that children younger than five years old do not assist with the cleaning of animals or animal cages, pens, or equipment.
- (6) If school-age children help in the cleaning of animals or animal equipment, the provider shall ensure that the children wash their hands immediately after cleaning the animal or equipment.
- (7) The provider shall ensure that children and staff wash their hands immediately after playing with or touching reptiles and amphibians.
- (8) The provider shall ensure that dogs, cats, and ferrets that are housed at the facility have current rabies vaccinations.
- (9) The provider shall keep current animal vaccination records on-site for review by the department.

R381-60-22. Rest and Sleep.

If sleeping equipment is used for rest and sleep time:

- (1) The provider shall use a separate crib, cot, mat, or other sleeping equipment for each child during nap times.
- (2) The provider shall ensure that each crib:
 - (a) has a tight-fitting mattress;
 - (b) has slats spaced no more than 2-3/8 inches apart;
 - (c) has at least 20 inches from the top of the mattress to the top of the crib rail, or at least 12 inches from the top of the mattress to the top of the crib rail if the child using the crib cannot sit up without assistance;
 - (d) does not have strings, cords, ropes, or other entanglement hazards on the crib or within reach of the child; and
 - (e) has documentation from the manufacturer or retailer stating that the crib was built after June 28, 2011, or that the crib is certified if the crib was manufactured before that date.
- (3) When in use, the provider shall place sleeping equipment such as cribs, cots, and mats at least two feet apart.
- (4) The provider shall ensure that sleeping equipment does not block exits.
- (5) The provider shall clean and sanitize sleeping equipment before each use.

R381-60-23. Diapering.

If the provider accepts children who wear diapers:

- (1) The provider shall post diapering procedures at each diapering station and ensure that they are followed.
- (2) The provider shall ensure that each child's diaper is:
 - (a) checked at least once every two hours;
 - (b) promptly changed if wet or soiled; and
 - (c) checked as soon as a sleeping child awakens.
- (3) The provider shall ensure that caregivers change children's diapers at a diapering station and not on surfaces used for any other purpose.
- (4) The provider shall ensure that the diapering surface is smooth, waterproof, and in good repair.
- (5) The provider shall ensure that each diapering station is equipped with railings to prevent a child from falling when being diapered.
- (6) The provider shall ensure that caregivers do not leave children unattended on the diapering surface.
- (7) The provider shall ensure that caregivers clean and sanitize the diapering surface after each diaper change, or use a disposable, waterproof diapering surface that is thrown away after each diaper change.
- (8) The provider shall ensure that caregivers who change diapers wash their hands after each diaper change.
- (9) The provider shall ensure that caregivers place wet and soiled disposable diapers:
 - (a) in a container that has a disposable plastic lining and a tight-fitting lid;
 - (b) directly in an outdoor garbage container that has a tight-fitting lid; or
 - (c) in a container that is inaccessible to children.
- (10) Each day, the provider shall clean and sanitize indoor containers where wet and soiled diapers are placed.
- (11) If cloth diapers are used, the provider shall:
 - (a) not rinse cloth diapers at the facility; and
 - (b) place cloth diapers directly into a leakproof container that is inaccessible to any child and labeled with the child's name;

or

 - (c) place the cloth diapers in a leakproof diapering service container.

R381-60-24. Infant and Toddler Care.

If the provider cares for infants or toddlers:

(1) The provider shall ensure that each awake infant and toddler receives positive physical and verbal interaction with a caregiver at least once every 20 minutes.

(2) To stimulate their healthy development, the provider shall ensure that infants receive daily interactions with adults; including on the ground interaction and closely supervised time spent in the prone position for infants less than six months old.

(3) The provider shall ensure that caregivers respond promptly to infants and toddlers who are in emotional distress due to conditions such as hunger, fatigue, a wet or soiled diaper, fear, teething, or illness.

(4) For their healthy development, the provider shall make safe toys available and accessible for each infant and toddler to engage in play.

(5) The provider shall ensure that mobile infants and toddlers have freedom of movement in a safe area.

(6) The provider may not confine an awake infant or toddler in any piece of equipment, such as a swing, high chair, crib, playpen, or other similar piece of equipment for more than 30 minutes.

(7) The provider shall ensure that only one infant or toddler occupies any one piece of equipment at a time, unless the equipment has individual seats for more than one child.

(8) The provider shall make objects made of styrofoam inaccessible to infants and toddlers.

(9) The provider shall allow each infant and toddler to eat and sleep on their own schedule.

(10) The provider shall ensure that baby food, formula, or breast milk that is brought from home for an individual child's use

is:

(a) labeled with the child's name;

(b) labeled with the date and time of preparation or opening of the container, such as a jar of baby food;

(c) kept refrigerated if needed; and

(d) discarded within 24 hours of preparation or opening, except for unprepared powdered formula or dry food.

(11) If an infant cannot sit upright and hold their own bottle, the provider shall ensure that a caregiver holds the infant during bottle feeding and that bottles are not propped.

(12) The provider shall ensure that the caregiver swirls and tests warm bottles for temperature before feeding to children.

(13) The provider shall discard formula and milk, including breast milk, after feeding or within two hours of starting a feeding.

(14) The provider shall ensure that caregivers cut solid foods for infants into pieces no larger than 1/4 inch in diameter, and cut solid foods for toddlers into pieces no larger than 1/2 inch in diameter.

(15) The provider shall ensure that infants sleep in equipment designed for sleep such as a crib, bassinet, porta-crib or playpen, and that infants are not placed to sleep on a mat, cot, pillow, bouncer, swing, car seat, or other similar piece of equipment.

(16) The provider shall place infants on their backs for sleeping unless there is documentation from a health care provider requiring a different sleep position.

(17) The provider may not place soft toys, loose blankets, or other objects in sleep equipment while in use by sleeping infants.

KEY: child care, child care facilities, hourly child care centers

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